I. OVERVIEW OF THE FUNDING OPPORTUNITY

Program Announcement for the Department of Defense

Defense Health Program

Congressionally Directed Medical Research Programs

Orthotics and Prosthetics Outcomes Research Program

Orthotics and Prosthetics Outcomes Research Award

Announcement Type: Initial

Funding Opportunity Number: W81XWH-17-OPORP-OPORA

Catalog of Federal Domestic Assistance Number: 12.420 Military Medical Research and Development

SUBMISSION AND REVIEW DATES AND TIMES

- Pre-Application Submission Deadline: 5:00 p.m. Eastern time (ET), October 20, 2017
- Invitation to Submit an Application: November 17, 2017
- Application Submission Deadline: 11:59 p.m. ET, January 8, 2018
- End of Application Verification Period: 5:00 p.m. ET, January 12, 2018
- Peer Review: February 2018
- Programmatic Review: April 2018

This Program Announcement must be read in conjunction with the General Application Instructions, version 20170516. The General Applications Instructions document is available for downloading from the Grants.gov funding opportunity announcement by selecting the “Package” tab, clicking “Preview,” and then selecting “Download Instructions.”
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II. DETAILED INFORMATION ABOUT THE FUNDING OPPORTUNITY

II.A. Program Description

Applications to the Fiscal Year 2017 (FY17) Orthotics and Prosthetics Outcomes Research Program (OPORP) are being solicited for the Defense Health Agency (DHA) J9, Research and Development Directorate, by the U.S. Army Medical Research Acquisition Activity (USAMRAA) using delegated authority provided by United States Code, Title 10, Section 2358 (10 USC 2358). As directed by the Office of the Assistant Secretary of Defense for Health Affairs (OASD[HA]), the DHA manages the Defense Health Program (DHP) Research, Development, Test, and Evaluation (RDT&E) appropriation. The execution management agent for this Program Announcement is the Congressionally Directed Medical Research Programs (CDMRP). The OPORP was initiated in 2014 to provide support for research of exceptional scientific merit with the potential to make a significant impact on improving the health and well-being of Service members, Veterans, and other individuals living with limb deficit. Appropriations for the OPORP from FY14 through FY16 totaled $30 million (M). The FY17 appropriation is $10M.

II.A.1. FY17 OPORP Focus Areas

All applications must address at least one of the following FY17 OPORP Focus Areas. Selection of the appropriate primary Focus Area is the responsibility of the applicant. Studies that propose development of new technology or improvement of existing technology are not allowed according to congressional intent.

- Orthotic or Prosthetic Device Form: Analysis of variables related to currently available clinical options such as, but not limited to, device size, shape, material, and configuration.

- Orthotic or Prosthetic Device Fit: Analysis of currently available clinical options that facilitate device fit-related characteristics such as comfort and usability through variables such as human-device interface and component connection.

- Orthotic or Prosthetic Device Function: Analysis of the variables related to currently available clinical options such as device control, passive response, active/actuated response, power, sensors, overall performance with respect to activities of daily living and other real-world activities.

*Animal studies are not allowed under this award mechanism.*

II.B. Award Information

The FY17 OPORP Orthotics and Prosthetics Outcomes Research Award (OPORA) challenges the scientific community to address which orthotic and prosthetic devices generate the best patient outcomes. Outcomes focused research is used to support evidence-based practice which guides providers in the optimization of care to Service members and Veterans with limb loss.
and/or limb impairment. It is expected that any research findings will also provide benefit to the general population. Applications involving multidisciplinary collaborations among academia, industry, the military Services, the Department of Veterans Affairs (VA), and other Federal Government agencies are highly encouraged.

The FY17 OPORP OPORA is intended to support research that evaluates the comparative effectiveness of orthotic and prosthetic devices using patient-centric outcomes for Service members and Veterans who have undergone limb amputation.

The FY17 OPORP OPORA is focused on outcomes-based best practices through analysis of the merits of prosthetic and orthotic device options currently available, not on the development of new, or the improvement of existing, technology. The intent of the award is to generate clinically useful evidence that will enhance and optimize patient outcomes.

The FY17 OPORP OPORA offers funding for two Funding Levels. The following are generalized descriptions of the scope of research appropriate for each Funding Level:

- **Funding Level 1/New Investigator:** This level is for new investigators only, and may support pilot research without preliminary data or research that is already supported by preliminary data and has the potential to make significant advancements toward clinical translation. *Specific eligibility details are provided in Section II.C, Eligibility Information.*

- **Funding Level 2:** Research that is supported by preliminary data and has the potential to make significant advancements toward clinical translation.

Specific eligibility and funding information is provided in *Section II.C, Eligibility Information* and *Section II.D.5, Funding Restrictions*.

The anticipated total costs budgeted for the entire period of performance for an FY17 OPORP OPORA Funding Level 1/New Investigator will not exceed $500,000. The anticipated total costs budgeted for the entire period of performance for an FY17 OPORP OPORA Funding Level 2 will not exceed $2.5M. Refer to *Section II.D.5, Funding Restrictions*, for detailed funding information.

**Research Involving Human Anatomical Substances, Human Subjects, or Human Cadavers:** All Department of Defense (DoD)-funded research involving new and ongoing research with human anatomical substances, human subjects, or human cadavers must be reviewed and approved by the U.S. Army Medical Research and Materiel Command (USAMRMC) Office of Research Protections (ORP), Human Research Protection Office (HRPO) prior to research implementation. This administrative review requirement is in addition to the local Institutional Review Board (IRB) or Ethics Committee (EC) review. Local IRB/EC approval at the time of submission is *not* required. The HRPO is mandated to comply with specific laws and requirements governing all research involving human anatomical substances, human subjects, or human cadavers that is supported by the DoD. These laws and requirements will necessitate information in addition to that supplied to the IRB/EC. *Allow a minimum of 2 to 3 months for HRPO regulatory review and approval processes.* When possible, protocols should be written for research with human subjects and/or human anatomical substances that are...
specific to the DoD-supported effort outlined in the submitted application. Submission to HRPO of protocols covering more than the scope of work in the DoD-funded award will require HRPO review of the entire protocol as DoD-supported research and may include extensive modifications to meet DoD human subjects protection requirements. Refer to the General Application Instructions, Appendix 1, and the Human Subject Resource Document available on the electronic Biomedical Research Application Portal (eBRAP) “Funding Opportunities & Forms” web page (https://ebrap.org/eBRAP/public/Program.htm) for additional information.

Clinical Trial Definition: A clinical trial is defined as a prospective accrual of human subjects where an intervention (e.g., device, drug, biologic, surgical procedure, rehabilitative modality, behavioral intervention, or other) is tested on a human subject for a measurable outcome with respect to safety, effectiveness, and/or efficacy. This outcome represents a direct effect on the human subject of that intervention or interaction. For more information on clinical trials and clinical research, a Human Subject Resource Document is provided at https://ebrap.org/eBRAP/public/Program.htm.

Rigor of Experimental Design: All projects should adhere to accepted standards for rigorous study design and reporting to maximize the reproducibility and translational potential of preclinical research. Core standards are described in Landis, S.C., et al., A call for transparent reporting to optimize the predictive value of preclinical research, Nature 2012, 490:187-191 (www.nature.com/nature/journal/v490/n7419/full/nature11556.html). While these standards were written for preclinical studies, the basic principles of randomization, blinding, sample-size estimation, and data handling derive from well-established best practices in research and should be applied consistently across basic and translational studies.

DoD and VA Collaboration and Alignment Encouraged: Relevance to the healthcare needs of the Armed Forces, their family members, and/or the Veteran population is a key feature of this award. Therefore, Principal Investigators (PIs) are strongly encouraged to collaborate, integrate, and/or align their research projects with DoD and/or VA research and/or medical treatment facilities and programs. The following websites may be useful in identifying information about ongoing DoD and VA areas of research interest:

Air Force Research Laboratory  
http://www.wpafb.af.mil/afrl

Clinical and Rehabilitative Medicine Research Program  
https://crmrp.amedd.army.mil/

Congressionally Directed Medical Research Programs  
http://cdmrp.army.mil

Defense Advanced Research Projects Agency  
http://www.darpa.mil/

Defense Technical Information Center  
http://www.dtic.mil

Naval Health Research Center  
http://www.med.navy.mil/sites/nhrc

Naval Medical Research Center  
http://www.med.navy.mil/sites/nmrc/Pages/NMRD.aspx

Navy and Marine Corps Public Health Center  
http://www.med.navy.mil/

Office of Naval Research  
http://www.med.navy.mil/
Use of Military and VA Populations and/or Resources: If the proposed research plan involves access to active duty military and/or VA patient populations or resources, the PI is responsible for demonstrating such access. If possible, access to target population(s) and/or resource(s) should be confirmed at the time of application submission by inclusion of a letter of support, signed by the lowest-ranking person with approval authority, for studies involving active duty military Service members, Veterans, military- and/or VA-controlled study materials, and military and/or VA databases. If access cannot be confirmed at the time of application submission, the Government reserves the right to withdraw the application or revoke funding until the PI has demonstrated support for and access to the relevant population(s) and/or resource(s). Note that access to a Veteran population for clinical studies may only be obtained by either collaboration with a VA investigator where the VA investigator has a substantial role in the research or by advertising to the general public.

The CDMRP intends that information, data, and research resources generated under awards funded by this Program Announcement be made available to the research community (which includes both scientific and consumer advocacy communities) and to the public at large. For additional guidance, refer to the General Application Instructions, Appendix 2, Section K.

Awards will be made no later than September 30, 2018. For additional information refer to Section II.F.1, Federal Award Notices.

II.C. Eligibility Information

II.C.1. Eligible Applicants

II.C.1.a. Organization: All organizations, including international organizations, are eligible to apply.

Government Agencies within the United States: Local, state, and Federal Government agencies are eligible to the extent that applications do not overlap with their fully funded internal programs. Such agencies are required to explain how their applications do not overlap with their internal programs.
As applications for this Program Announcement may be submitted by extramural and intramural organizations, these terms are defined below.

**Extramural Organization:** An eligible non-DoD organization. Examples of extramural organizations include academia, biotechnology companies, foundations, Government, and research institutes. *Extramural Submission: Application submitted by a non-DoD organization to Grants.gov.*

**Intramural DoD Organization:** A DoD laboratory, DoD military treatment facility, and/or DoD activity embedded within a civilian medical center. *Intramural Submission: Application submitted by a DoD organization for an intramural investigator who is a DoD military or civilian employee working within a DoD laboratory or military treatment facility or in a DoD activity embedded within a civilian medical center.*

**Note:** Applications from an intramural organization or from an extramural non-DoD Federal organization may be submitted through a research foundation.

The USAMRAA makes awards to eligible organizations, not to individuals.

**II.C.1.b. Principal Investigator:**

*Although a PI may be eligible for more than one Funding Level category (see Section II.B, Award Information), only one category may be chosen per application; the choice of application category is at the discretion of the PI, given he/she meets the specified eligibility criteria.*

- **Funding Level 1/New Investigator Applications**
  - PIs are eligible for this award if, *at the time of pre-application submission deadline*, they:
    - Are within 5 years of their last training position;
    - Are able to demonstrate the freedom to pursue research goals outside of a formal mentorship program or arrangement;
    - Can provide evidence of organizational support, such as start-up funds provided by the institution and/or use of a technician, space, facilities, and resources;
  - PIs working within a laboratory team are eligible to apply for this award provided they meet the criteria above.
  - Graduate students and postdoctoral fellows are *not* eligible for this award.

- **Funding Level 2 Applications**
  - Independent investigators at all academic levels (or equivalent) are eligible to submit applications.
• All Applications

An eligible PI, regardless of ethnicity, nationality, or citizenship status, must be employed by, or affiliated with, an eligible organization.

The CDMRP encourages all PIs to participate in a digital identifier initiative through Open Researcher and Contributor ID, Inc. (ORCID). Registration for a unique ORCID identifier can be done online at http://orcid.org/.

II.C.2. Cost Sharing

Cost sharing/matching is not an eligibility requirement.

II.C.3. Other

All organizations must be able to access .gov and .mil websites in order to fulfill the financial and technical deliverable requirements of the award and submit invoices for payment.

There are no limitations on the number of applications for which an investigator may be named as a PI.

For general information on required qualifications for award recipients, refer to the General Application Instructions, Appendix 3.

Refer to Section II.H.2, Administrative Actions, for a list of administrative actions that may be taken if a pre-application or application does not meet the administrative, eligibility, or ethical requirements defined in this Program Announcement.

II.D. Application and Submission Information

Extramural Submission is defined as an application submitted by a non-DoD organization to Grants.gov.

Intramural Submission is defined as an application submitted by a DoD organization for an intramural investigator, who is a DoD military or civilian employee working within a DoD laboratory or military treatment facility, or working in a DoD activity embedded within a civilian medical center.

II.D.1. Address to Request Application Package

Submitting Extramural and Intramural Organizations: Pre-application content and forms can be accessed at eBRAP (https://eBRAP.org).

Submitting Extramural Organizations: Full application packages can be accessed at Grants.gov.

Submitting Intramural DoD Organizations: Full application packages can be accessed at eBRAP.org.
Contact information for the CDMRP Help Desk and the Grants.gov Contact Center can be found in Section II.G, Federal Awarding Agency Contacts.

II.D.2. Content and Form of the Application Submission

Submission is a two-step process requiring both pre-application and full application as indicated below. The submission process should be started early to avoid missing deadlines. There are no grace periods.

Pre-Application Submission: All pre-applications for both extramural and intramural organizations must be submitted through eBRAP (https://eBRAP.org/).

eBRAP is a multifunctional web-based system that allows PIs to submit their pre-applications electronically through a secure connection, to view and edit the content of their pre-applications and full applications, to receive communications from the CDMRP, and to submit documentation during award negotiations and period of performance.

Full Application Submission: Full applications must be submitted through the online portals as described below.

Submitting Extramural Organizations: Full applications from extramural organizations must be submitted through Grants.gov. Applications submitted by extramural organizations (e.g., research foundations) on behalf of intramural DoD or other Federal organizations or investigators will be considered extramural submissions.

Submitting Intramural DoD Organizations: Intramural DoD organizations may submit full applications to either eBRAP or Grants.gov. Intramural DoD organizations that are unable to submit to Grants.gov should submit through eBRAP. Intramural DoD organizations with the capability to submit through Grants.gov may submit following the instructions for extramural submissions through Grants.gov or may submit to eBRAP. Applications from extramural organizations, including non-DoD Federal organizations, received through eBRAP will be withdrawn. See definitions in Section II.C.1, Eligible Applicants.

eBRAP allows intramural organizations to submit full applications following pre-application submission.

For both Extramural and Intramural applicants: A key feature of eBRAP is the ability of an organization’s representatives and PIs to view and modify the full application submissions associated with them. eBRAP will validate full application files against the specific Program Announcement requirements and discrepancies will be noted in an email to the PI and in the Full Application Files tab in eBRAP. It is the applicant’s responsibility to review all application components for accuracy as well as ensure proper ordering as specified in this Program Announcement.

The application title, eBRAP log number, and all information for the PI, Business Official(s), performing organization, and contracting organization must be consistent throughout the entire pre-application and application submission process. Inconsistencies may delay application processing and limit or negate the ability to view, modify, and verify the application in eBRAP.
II.D.2.a. Step 1: Pre-Application Submission Content

During the pre-application process, each submission is assigned a unique log number by eBRAP. This unique eBRAP log number will be needed during the full application submission process.

To begin the pre-application process, first select whether the submitting organization is extramural or intramural, then confirm your selection or cancel. Incorrect selection of extramural or intramural submission type may result in delays in processing.

If an error has been made in the selection of extramural versus intramural and the pre-application submission deadline has passed, the PI or Business Official must contact the CDMRP Help Desk at help@eBRAP.org or 301-682-5507.

All pre-application components must be submitted by the PI through eBRAP (https://eBRAP.org/). Because the invitation to submit an application is based on the contents of the pre-application, investigators should not change the title or research objectives after the pre-application is submitted.

PIs and organizations identified in the pre-application should be the same as those intended for the subsequent application submission. If any changes are necessary after submission of the pre-application, the PI must contact the CDMRP Help Desk at help@eBRAP.org or 301-682-5507.

A change in PI or organization after submission of the pre-application may be allowed after review of a submitted written appeal (contact the CDMRP Help Desk at help@eBRAP.org or 301-682-5507) and at the discretion of the USAMRAA Grants Officer.

The pre-application consists of the following components, which are organized in eBRAP by separate tabs (refer to the General Application Instructions, Section II.B, for additional information on pre-application submission):

- **Tab 1 – Application Information**
- **Tab 2 – Application Contacts**

Enter contact information for the PI. Enter the organization’s Business Official responsible for sponsored program administration (the “person to be contacted on matters involving this application” in Block 5 of the Grants.gov SF424 (R&R) Form). The Business Official must be either selected from the eBRAP list or invited in order for the pre-application to be submitted.

Select the performing organization (site at which the PI will perform the proposed work) and the contracting organization (organization submitting on behalf of the PI, which corresponds to Block 5 on the Grants.gov SF424 (R&R) Form), and click on “Add Organizations to this
"Pre-application." The organization(s) must be either selected from the eBRAP drop-down list or invited in order for the pre-application to be submitted.

It is recommended that PIs identify an Alternate Submitter in the event that assistance with pre-application submission is needed.

- **Tab 3 – Collaborators and Key Personnel**

Enter the name, organization, and role of all collaborators and key personnel associated with the application.

**FY17 OPORP Programmatic Panel** members should not be involved in any pre-application or application. For questions related to Panel members and pre-applications or applications, refer to **Section II.H.2.c, Withdrawal**, or contact the CDMRP Help Desk at help@eBRAP.org or 301-682-5507.

To preserve the integrity of its peer and programmatic review processes, the CDMRP discourages inclusion of any employee of its review contractors having any role in pre-application or application preparation, research, or other duties for submitted pre-applications or applications. For FY17, the identities of the peer review contractor and the programmatic review contractor may be found at the CDMRP website (http://cdmrp.army.mil/about/2tierRevProcess). Pre-applications or applications that include names of personnel from either of these companies will be administratively withdrawn unless plans to manage conflicts of interest (COIs) are provided and deemed appropriate by the Grants Officer. Refer to the General Application Instructions, Appendix 3, for detailed information.

- **Tab 4 – Conflicts of Interest**

List all individuals other than collaborators and key personnel who may have a COI in the review of the application (including those with whom the PI has a personal or professional relationship). Refer to the General Application Instructions, Appendix 3, Section C, for further information regarding COIs.

- **Tab 5 – Pre-Application Files**

*Note: Upload documents as individual PDF files unless otherwise noted. eBRAP will not allow a file to be uploaded if the number of pages exceeds the limit specified below.*

- **Preproposal Narrative (three-page limit):** The Preproposal Narrative page limit applies to text and non-text elements (e.g., figures, tables, graphs, photographs, diagrams, chemical structures, drawings) used to describe the project. Inclusion of URLs that provide additional information to expand the Preproposal Narrative and could confer an unfair competitive advantage is prohibited and may result in administrative withdrawal of the pre-application.
The Preproposal Narrative should include the following:

- **For All Funding Levels:**

  - **Alignment with Focus Areas:** Note specifically which FY17 OPORP OPORA Focus Area(s) the proposed work addresses. To meet the intent of the award mechanism, pre-applications must specifically address one or more of the FY17 OPORP OPORA Focus Areas. Pre-applications proposing research outside of the FY17 OPORP OPORA Focus Areas should not be submitted in response to this Program Announcement.

  - **Research Plan:** Concisely state the ideas and reasoning on which the proposed clinical research or clinical trial is based. State the project’s hypothesis, objectives, specific aims, and briefly describe the experimental approach. Describe the endpoints to be measured. State the developmental stage of the proposed research.

  - **Military Benefit and Impact:** Describe how the proposed work will impact the healthcare needs of Service members and/or Veterans recovering from traumatic neuromusculoskeletal injury as well as their families, caregivers, and/or communities.

  - **Personnel:** Briefly state the relevant qualifications of the PI and key personnel to perform the proposed effort.

- **Pre-Application Supporting Documentation:** The items to be included as supporting documentation for the pre-application must be uploaded as individual files and are limited to the following:

  - References Cited (one-page limit): List the references cited (including URLs if available) in the Preproposal Narrative using a standard reference format that includes the full citation (i.e., author[s], year published, reference title, and reference source, including volume, chapter, page numbers, and publisher, as appropriate).

  - List of Abbreviations, Acronyms, and Symbols: Provide a list of abbreviations, acronyms, and symbols used in the Preproposal Narrative.

  - Key Personnel Biographical Sketches (six-page limit per individual): All biographical sketches should be uploaded as a single combined file. Biographical sketches should be used to demonstrate background and expertise through education, positions, publications, and previous work accomplished.

    - For Funding Level 1/New Investigator: The biographical sketch should clearly illustrate the PI’s eligibility to submit a Funding Level 1/New Investigator pre-application.
• **Tab 6 – Submit Pre-Application**

  This tab must be completed for the pre-application to be accepted and processed.

**Pre-Application Screening**

**Pre-Application Screening Criteria**

To determine the technical merits of the pre-application and the relevance to the mission of the DHP and the OPORP, pre-applications will be screened based on the following criteria:

- **Alignment with Focus Areas**: How well the project addresses at least one of the FY17 OPORP Focus Areas.

- **Research Plan**: How well the proposed clinical research or clinical trial addresses the intent of the award mechanism and the program. To what degree the rationale, objectives, and specific aims support the research idea. How the endpoints are appropriate for the proposed study. Whether the developmental stage of the proposed research is appropriate.

- **Military Benefit**: How the proposed work would benefit the healthcare needs of Service members and/or Veterans recovering from traumatic neuromusculoskeletal injury as well as their families, caregivers, and/or communities.

- **Personnel**: How the qualifications and expertise of the PI and key personnel are appropriate to perform the proposed research or clinical trial.

**Notification of Pre-Application Screening Results**

Following the pre-application screening, PIs will be notified as to whether or not they are invited to submit applications; however, they will not receive feedback (e.g., a critique of strengths and weaknesses) on their pre-application. The estimated time frame for notification of invitation to submit an application is indicated in Section I, Overview of the Funding Opportunity. Invitations to submit a full application are based on the Pre-Application Screening Criteria listed above.

**II.D.2.b. Step 2: Full Application Submission Content**

Applications will not be accepted unless the PI has received notification of invitation.

*All contributors and administrators to the application must use matching compatible versions of Adobe software when editing and preparing application components. The use of different software versions will result in corruption of the submitted file. Refer to the General Application Instructions, Section III, for details on compatible Adobe software.*

*The CDMRP cannot make allowances/exceptions to its policies for submission problems encountered by the applicant organization using system-to-system interfaces with Grants.gov.*
Each application submission must include the completed full application package for this Program Announcement. The full application package is submitted by the Authorized Organizational Representative through Grants.gov (http://www.grants.gov/) for extramural organizations or through eBRAP (https://ebrap.org/) for intramural organizations. See Table 1 below for more specific guidelines.

II.D.2.b.i. Full Application Guidelines

Extramural organizations, including non-DoD Federal agencies, must submit full applications through Grants.gov. Submissions of extramural applications through eBRAP may be withdrawn.

Table 1. Full Application Submission Guidelines

<table>
<thead>
<tr>
<th>Extramural Submissions</th>
<th>Intramural DoD Submissions</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Application Package Location</strong></td>
<td><strong>Application Package Components</strong></td>
</tr>
<tr>
<td>Download application package components for W81XWH-17-OPORP-OPORA from Grants.gov (<a href="http://www.grants.gov">http://www.grants.gov</a>).</td>
<td>Tab 1 – <strong>Summary</strong>: Provide a summary of the application information. Tab 2 – <strong>Application Contacts</strong>: This tab will be pre-populated by eBRAP; add Authorized Organizational Representative.</td>
</tr>
<tr>
<td><strong>Full Application Package Components</strong></td>
<td><strong>Descriptions of each required file can be found under Full Application Submission Components:</strong></td>
</tr>
<tr>
<td>SF424 (R&amp;R) Application for Federal Assistance Form: Refer to the General Application Instructions, Section III.A.1, for detailed information.</td>
<td>• <strong>Attachments</strong> • <strong>Research &amp; Related Senior/Key Person Profile (Expanded)</strong> • <strong>Research &amp; Related Budget</strong> • <strong>Project/Performance Site Location(s) Form</strong> • <strong>R&amp;R Subaward Budget Attachment(s) Form</strong> (if applicable)</td>
</tr>
<tr>
<td><strong>Application Package Submission</strong></td>
<td><strong>Tab 3 – Full Application Files</strong>: Upload files under each Application Component in eBRAP. Descriptions of each required file can be found under Full Application Submission Components:</td>
</tr>
<tr>
<td>Submit package components to Grants.gov (<a href="http://www.grants.gov">http://www.grants.gov</a>). If either the Project Narrative or the budget fails eBRAP validation or if the Project Narrative or the budget needs to be modified, an updated</td>
<td>Submit package components to eBRAP (<a href="https://ebrap.org">https://ebrap.org</a>). Tab 5 – <strong>Submit/Request Approval Full Application</strong>: After all components are uploaded and prior to the full application</td>
</tr>
<tr>
<td>Extramural Submissions</td>
<td>Intramural DoD Submissions</td>
</tr>
<tr>
<td>------------------------</td>
<td>---------------------------</td>
</tr>
<tr>
<td>Grants.gov application package must be submitted via Grants.gov as a “Changed/Corrected Application” with the previous Grants.gov Tracking ID prior to the application submission deadline.</td>
<td>Submission deadline, enter your password in the space provided “Enter Your Password Here” and press the “Submit Full Application” button. eBRAP will notify your Resource Manager/Comptroller or equivalent Business Official by email to log into eBRAP to review and to approve prior to the application submission deadline.</td>
</tr>
</tbody>
</table>

**Application Verification Period**

| The full application package submitted to Grants.gov may be viewed and modified in eBRAP until the end of the application verification period. During the application verification period, the full application package, with the exception of the Project Narrative and Budget Form, may be modified. | After eBRAP has processed the full application, the organizational Resource Manager/Comptroller or equivalent Business Official and PI will receive an email notification of this status and will be able to view and modify application components in eBRAP. During the application verification period, the full application package, with the exception of the Project Narrative and Budget Form, may be modified. |

**Further Information**

| Refer to the General Application Instructions, Section III, for further information regarding Grants.gov requirements. | Refer to the General Application Instructions, Section IV, for further information regarding eBRAP requirements. |

The organization’s Business Official or Authorized Organization Representative (or Resource Manager/Comptroller) should approve/verify the full application submission prior to the application verification deadline.

Application viewing, modification, and verification in eBRAP are strongly recommended, but not required. The Project Narrative and Budget cannot be changed after the application submission deadline. Prior to the full application deadline, a corrected or modified full application package may be submitted. Other application components may be changed until the end of the application verification period. After the end of the application verification period, the full application cannot be modified.

Material submitted after the end of the application verification period, unless specifically requested by the Government, will not be forwarded for processing.

The full application package must be submitted using the unique eBRAP log number to avoid delays in application processing.
II.D.2.b.ii. Full Application Submission Components:

- Extramural Applications Only –

**SF424 (R&R) Application for Federal Assistance Form:** Refer to the General Application Instructions, Section III.A.1, for detailed information.

- Extramural and Intramural Applications –

**Attachments:**

*Each attachment to the full application components must be uploaded as an individual file in the format specified and in accordance with the formatting guidelines listed in the General Application Instructions, Appendix 4.*

For all attachments, ensure that the file names are consistent with the guidance. Attachments will be rejected if the file names are longer than 50 characters or incorrect file names that contain characters other than the following: A-Z, a-z, 0-9, underscore, hyphen, space, and period. In addition, there are file size limits that may apply in some circumstances. Individual attachments may not exceed 20 MB and the file size for the entire full application package may not exceed 200 MB.

- **Attachment 1: Project Narrative (15-page limit):** Upload as “ProjectNarrative.pdf.”
  
  The page limit of the Project Narrative applies to text and non-text elements (e.g., figures, tables, graphs, photographs, diagrams, chemical structures, drawings) used to describe the project. Inclusion of URLs that provide additional information to expand the Project Narrative and could confer an unfair competitive advantage is prohibited and may result in administrative withdrawal of the application.

  - **Background:** State the relevance of the research and applicability of the proposed findings to at least one of the FY17 OPORP OPORA Focus Areas. Present the ideas and reasoning behind the proposed work. Cite relevant literature and pilot, preliminary, or preclinical data as appropriate.

  **For research involving human subjects:**

  - Provide a summary of relevant prior clinical and preclinical work and distinguish how the proposed study differs from other relevant or recently completed research. Include a discussion of any current clinical use of the orthotic or prosthetic device under investigation and/or details of its study in clinical trials for other indications (if applicable).

  - **Hypothesis or Objective:** State the hypothesis to be tested or the objective to be reached.

  - **Specific Aims:** Concisely explain the project’s specific aims to be funded by this award.
- **Research Strategy:** Describe the study design, methods, models, and analyses, including appropriate controls and statistical analyses, in sufficient detail for assessment of the application. Explain how this research strategy will meet the research goals and milestones. Describe the statistical plan including power analysis, as appropriate, for the research proposed. Address potential pitfalls and problem areas and present alternative methods and approaches. Provide a well-developed, well-integrated, and detailed research plan that supports the translational feasibility and promise of the approach. Describe the methods that will be used to recruit a sample of human subjects from the accessible population (e.g., convenience, simple random, stratified random). Provide metrics on the available participants at each research site (e.g., throughput of potential participants). Describe how data will be reported and how it will be assured that the documentation will support a regulatory filing with the U.S. Food and Drug Administration (FDA), if applicable.

*For research involving human subjects:*

- Identify the orthotic or prosthetic device to be tested (if applicable) and describe the projected outcomes.
- Define the study variables and describe how they will be measured. Include a description of appropriate controls and the endpoints to be tested.
- Describe the methods that will be used to recruit a sample of human subjects from the accessible population (e.g., convenience, simple random, stratified random).
- Describe the human subject-to-group assignment process (e.g., randomization, block randomization, stratified randomization, age-matched controls, alternating group, or other procedures), if applicable. Explain the specific actions to accomplish the group assignment (e.g., computer assignment, use of table of random numbers).

- **Military Benefit and Impact:** Briefly explain how the proposed project will have an immediate or potential long-term impact on the health and well-being of Service members, Veterans, and/or their family members.

- **Outcomes:** If successful, describe the degree to which the anticipated research outcomes may advance the field of orthotics and prosthetics outcomes-related neuromusculoskeletal injuries rehabilitation research and patient care. Describe the degree to which the research may improve current orthotic or prosthetic devices and/or standard of care. Describe how the research will contribute to the development or validation of evidence-based policy or guidelines for patient evaluation and care. Describe potential issues that might limit the impact of the proposed research.

○ **Attachment 2: Supporting Documentation:** Combine and upload as a single file named “Support.pdf.” Start each document on a new page. If documents are scanned to PDF, the lowest resolution (100 to 150 dpi) should be used. The Supporting Documentation attachment should not include additional information such as figures,
tables, graphs, photographs, diagrams, chemical structures, or drawings. These items should be included in the Project Narrative. Any additional material viewed as an extension of the Project Narrative will be removed or may result in administrative withdrawal of the application.

There are no page limits for any of these components unless otherwise noted. Include only those components described below; inclusion of items not requested will result in the removal of those items or may result in administrative withdrawal of the application.

– References Cited: List the references cited (including URLs, if available) in the Project Narrative using a standard reference format that includes the full citation (i.e., author[s], year published, title of reference, source of reference, volume, chapter, page numbers, and publisher, as appropriate).

– List of Abbreviations, Acronyms, and Symbols: Provide a list of abbreviations, acronyms, and symbols.

– Facilities, Existing Equipment, and Other Resources: Describe the facilities and equipment available for performance of the proposed project and any additional facilities or equipment proposed for acquisition at no cost to the award. Indicate whether or not Government-furnished facilities or equipment are proposed for use. If so, reference should be made to the original or present Government award under which the facilities or equipment items are now accountable. There is no form for this information.

– Publications and/or Patents: Include a list of relevant publication URLs and/or patent abstracts. If publications are not publicly available, then copies of up to five published manuscripts may be included in Attachment 2. Extra items will not be reviewed.

– Letters of Organizational Support: Provide a letter (or letters, if applicable), signed by the Department Chair or appropriate organization official, confirming the laboratory space, equipment, and other resources available for the project. Letters of support not requested in the Program Announcement, such as those from members of Congress, do not impact application review or funding decisions.

– Letters of Collaboration (if applicable): Provide a signed letter from each collaborating individual or organization that will demonstrate that the PI has the support or resources necessary for the proposed work. If an investigator at an intramural organization is named as a collaborator on an application submitted through an extramural organization, the application must include a letter from the collaborator’s Commander or Commanding Officer at the intramural organization that authorizes the collaborator’s involvement.

– Letter(s) of Support for Use of Military and VA Populations or Resources (if applicable): If the proposed research plan involves access to active duty military and/or VA patient populations or resources, include a letter(s) of support, signed by
the lowest ranking person with approval authority, confirming such access. If access cannot be confirmed at the time of application submission, the Government reserves the right to withhold or revoke funding until the PI has demonstrated support for and access to the relevant population(s) and/or resources.

  - Intellectual and Material Property Plan (if applicable): Provide a plan for resolving intellectual and material property issues among participating organizations.
- Data and Research Resources Sharing Plan: Describe how data and resources generated during the performance of the project will be shared with the research community. Refer to the General Application Instructions, Appendix 2, Section K, for more information about the CDMRP expectations for making data and research resources publicly available.
  - Attachment 3: Technical Abstract (one-page limit): Upload as “TechAbs.pdf.” The technical abstract is used by all reviewers. Abstracts of all funded research projects will be posted publicly. Do not include proprietary or confidential information. Use only characters available on a standard QWERTY keyboard. Spell out all Greek letters, other non-English letters, and symbols. Graphics are not allowed.
  - Background: State how the proposed research addresses one or more of the FY17 OPORP OPORA Focus Areas. Present the ideas and reasoning behind the proposed work.
  - Objective/Hypothesis: State the objective to be reached or the hypothesis to be tested. Provide evidence or rationale that supports the objective/hypothesis.
  - Specific Aims: State the specific aims of the study.
  - Study Design: Briefly describe the study design, including appropriate controls.
  - Military Benefit: Briefly explain how the proposed project will have an immediate or potential long-term impact on the health and well-being of Service members, Veterans, and/or their family members.
  - Attachment 4: Lay Abstract (one-page limit): Upload as “LayAbs.pdf.” The lay abstract is used by all reviewers. Abstracts of all funded research projects will be posted publicly. Do not include proprietary or confidential information. Use only characters available on a standard QWERTY keyboard. Spell out all Greek letters, other non-English letters, and symbols. Graphics are not allowed.
  - Describe the objectives and rationale for the proposed project in a manner that will be readily understood by readers without a background in science or medicine.
– Describe the ultimate applicability and potential impact of the research.

– Describe the types of patients that will be helped by the research and how it will help them. Include currently available statistics to the related injury/condition.

– Describe potential clinical applications, benefits, and risks.

– Describe the projected timeline to achieve the expected patient-related outcome.

– Describe how the proposed project will benefit Service members, Veterans, and/or their family members.


  The suggested SOW format and examples specific to different types of research projects are available on the eBRAP “Funding Opportunities & Forms” web page (https://ebrap.org/eBRAP/public/Program.htm). For the FY17 OPORP OPORA mechanism, use the SOW format example titled “SOW Generic Format.” The SOW must be in PDF format prior to attaching.

  The SOW should include a list of major tasks that support the proposed specific aims, followed by a series of subtasks outlined related to the major tasks and milestones within the period of performance. The SOW should describe only the work for which funding is being requested by this application and, as applicable, should also:

  Include the name(s) of the key personnel and contact information for each study site/subaward site.

  Indicate the number (and type, if applicable) of research subjects and/or human anatomical samples projected or required for each task and at each site. Refer to the General Application Instructions, Appendix 1, for additional information regarding regulatory requirements.

  Briefly state the methods to be used.

  For studies with prospective accrual of human subjects, indicate quarterly enrollment targets.

  Identify cell line(s) and commercial or organizational source(s) to be used. If human anatomical substances (including cell lines) will be used, specify whether or not identifiable information is accessible to the research team by any means.

  If applicable, indicate timelines required for regulatory approvals relevant to human subjects research (e.g., Investigational New Drug (IND) and Investigational Device Exemption (IDE) applications) by the FDA or other Government agency.

Describe how the proposed study is responsive to the healthcare needs of Service members and Veterans. Provide information about the incidence and/or prevalence of the disease or condition to be studied in Service members and/or Veterans, if appropriate and available. Show how the proposed orthotics or prosthetics outcomes study complements ongoing DoD and VA areas of research interest, if applicable.

If active duty military and/or Veteran population(s) will be used in the proposed research, describe the population(s), the appropriateness of the population(s) for the proposed study, and the feasibility of accessing the population. If a non-military population will be used for the proposed research, explain how the population simulates the targeted population (i.e., Service members or Veterans).

Attachment 7: Transition Plan (two-page limit): Upload as “Transition.pdf.” Provide information on the methods and strategies proposed to move the advance the effort to the next phase of research or delivery to the military or civilian market after successful completion of the award. The Transition Plan attachment should include the components listed below.

- Details of the funding strategy to transition to the next level of development and/or commercialization (e.g., partners, internal/external funding opportunities to be pursued).
- For Knowledge Products, a description of collaborations and other resources that will be used to provide continuity of development including proposed development or modification of clinical practice guidelines and recommendations, provider training materials, patient brochures, and other clinical support tools, scientific journal publications, models, simulations, and applications. (A “Knowledge Product” is a non-materiel product that addresses an identified need, topic area, or capability gap, is based on current evidence and research, aims to transition into medical practice, training, tools, or to support materiel solutions (systems to develop, acquire, provide, and sustain medical solutions and capabilities), and educates or impacts behavior throughout the continuum of care, including primary prevention of negative outcomes.)
- Ownership rights/access to the intellectual property necessary for the development and/or commercialization of products or technologies supported with this award and the Government’s ability to access such products or technologies in the future.
- If applicable, a risk analysis for cost, schedule, manufacturability, and sustainability.

Attachment 8: Current Quad Chart (one-page limit): Upload as “QuadChart.ppt.” Provide a current Quad Chart in PowerPoint (.ppt) format.

Attachment 9: PI Eligibility Statement (if applicable, required for Funding Level 1/New Investigator applications; one-page limit): Upload as “PIEligibility.pdf.” Use the Eligibility Statement template (available for download on the Full Announcement page for this Program Announcement on Grants.gov) signed by the Department Chair, Dean, or equivalent official to verify that the PI meets the eligibility
requirements shown in Section II.C, Eligibility Information, of this Program Announcement.

- **Attachment 10: IND/IDE Documentation (if applicable; no page limit):** If submitting multiple documents, start each document on a new page. Combine and upload as a single file named “IND-IDE.pdf.”
  - Complete the IND/IDE Documentation Form, which is available for download on the Full Announcement page for this Program Announcement on Grants.gov.
  - If an IND or IDE has been submitted, an explanation of the status of the IND or IDE should be provided (e.g., past the critical 30-day period, pending response to questions raised by the Agency, on clinical hold). Inclusion of a copy of the Agency meeting minutes is encouraged but not required. If the IND or IDE application is not yet submitted, provide evidence that an IND or IDE will be submitted within 120 days of award. Examples include a pre-IND or pre-IDE meeting with the FDA, a pre-IND/pre-IDE meeting request to the FDA, or other communication with the FDA. Provide the anticipated date of IND/IDE submission. If an IND or IDE is not required for the proposed study, provide evidence in the form of communication from the FDA or the IRB of record to that effect.

- **Attachment 11: Human Subject Recruitment and Safety Procedures (if applicable; required for all studies recruiting human subjects; no page limit):** Upload as “HumSubProc.pdf.” The Human Subject Recruitment and Safety Procedures attachment should include the components listed below.
  - **Study Population:** Describe the target population (to whom the study findings will be generalized) and the nature, approximate number, and pertinent demographic characteristics of the accessible population at the study site (population from whom the sample will be recruited/drawn). Provide metrics on the available participants at each research site and demonstrate that the research team has access to the proposed study population. Furthermore, discuss past efforts (including specific metrics of enrollment and retention) in recruiting human subjects from the target population for previous clinical research (if applicable). Address any potential barriers to accrual and plans for addressing unanticipated delays. Include justification of any age, race, ethnicity, or sex limitations provided.
  - **Inclusion/Exclusion Criteria:** List the inclusion and exclusion criteria for the proposed clinical trial. Inclusion/exclusion criteria should take into consideration the specific risk profile of the studies to be conducted and the standard of care for that patient population. Provide detailed justification for exclusions.
    - **Inclusion of Women and Minorities in Study.** Consistent with the Belmont Report, “Ethical Principles and Guidelines for the Protection of Human Subjects,” and Congressional legislation, special attention is given to inclusion of women and/or minorities in studies funded or supported by the USAMRMC. This policy is intended to promote equity both in assuming the burdens and in receiving the
benefits of human subjects research. Include an appropriate justification if women and/or minorities will be excluded from the clinical trial.

- **Description of the Recruitment Process:** Explain methods for identification of potential human subjects (e.g., medical record review, obtaining sampling lists, and healthcare provider identification).
  
  - Describe the recruitment process in detail. Address who will identify potential human subjects, who will recruit them, and what methods will be used to recruit them.
  
  - Include a detailed description of and justification for the compensation plan if the human subjects will be compensated for participation in the study.
  
  - Describe the recruitment and advertisement materials. The recruitment materials should not be coercive or offer undue inducements and should accurately reflect the study.

- **Description of the Informed Consent Process:** Specifically describe the plan for obtaining informed consent from human subjects.
  
  - **For the proposed study, provide a draft, in English, of the Informed Consent Form.**
  
  - Identify who is responsible for explaining the study, answering questions, and obtaining informed consent. Include a plan for ensuring that human subjects’ questions will be addressed during the consent process and throughout the trial.
  
  - Include information regarding the timing and location of the consent process.
  
  - Address issues relevant to the mental capacity of the potential human subject (e.g., altered capacity due to administration of any mind-altering substances such as tranquilizers, conscious sedation or anesthesia, brain injury, stress/life situations, or human subject age), if applicable.
  
  - Address how privacy and time for decision making will be provided and whether or not the potential human subject will be allowed to discuss the study with anyone before making a decision.
  
  - Consider the need for obtaining ongoing consent or for re-assessing capacity over the course of a long-term study and describe any relevant procedures to assure continued consent.
  
  - Describe the plan for the consent of the individual’s Legally Authorized Representative (LAR) to be obtained prior to the human subject’s participation in the study. State law defines who may act as the LAR. The local IRB of record should be consulted for guidance regarding who can serve as LAR for research at the study site. Note: The PI must describe a clear intent to benefit for human
subjects who cannot give their own consent to participate in the proposed clinical trial to be in compliance with 10 USC 980 (https://www.gpo.gov/fdsys/pkg/USCODE-2011-title10/pdf/USCODE-2011-title10-subtitleA-partII-chap49-sec980.pdf). If applicable, refer to the General Application Instructions, Appendix 1, for more information.

- **Assent for minors or other populations that cannot provide informed consent:** If these populations are included in the proposed clinical trial, a plan to obtain assent (agreement) from those with capacity to provide it, or a justification for a waiver of assent, should be provided. PIs should consult with their local IRB to identify the conditions necessary for obtaining assent.

- **Screening Procedures:** List and describe any evaluations (e.g., laboratory procedures, history, or physical examination) that are required to determine eligibility/suitability for study participation and the diagnostic criteria for entry. Note that some screening procedures may require a separate consent or a two-stage consent process. Informed consent must be obtained prior to initiation of any procedures for the purpose of determining eligibility.

- **Risks/Benefits Assessment:**
  - **Foreseeable risks:** Clearly identify all study risks. Study risks include any risks that the human subject is subjected to as a result of participation in the clinical trial. Consider psychological, legal, social, and economic risks as well as physical risks. If the risks are unknown, this should be stated. If applicable, any potential risk to the study personnel should be identified.
  
  - **Risk management and emergency response:**
    - Describe all safety measures to minimize and/or eliminate risks to human subjects and study personnel or to manage unpreventable risks. Include safeguards and planned responses such as dose reduction or stopping criteria based on toxicity grading scales or other predetermined alert values.
    - Discuss the overall plan for provision of emergency care or treatment for an adverse event for study-related injuries, to include who will be responsible for the cost of such care.
    - Address any special precautions to be taken by the human subjects before, during, and after the study (e.g., medication washout periods, dietary restrictions, hydration, fasting, pregnancy prevention).
    - Describe any special care (e.g., wound dressing assistance, transportation due to side effects of study intervention impairing ability to drive) or equipment (e.g., thermometers, telemedicine equipment) needed for human subjects enrolled in the study.

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- **Potential benefits:** Describe known and potential benefits of the study to the human subject, a specific community, or society.

- **Attachment 12: Data Management (if applicable; required for all studies recruiting human subjects; no page limit):** Upload as “Data_Manage.pdf.” The Data Management attachment should include the components listed below.

  a. **Data management:** Describe all methods used for data collection to include the following:

    - **Identifiers:** Describe the unique identifiers or specific code system to be used to identify human subjects, if applicable.

    - **Confidentiality:**
      - Explain measures taken to protect the privacy of study human subjects and maintain confidentiality of study data. Strategies to protect the privacy and confidentiality of study records, particularly those containing identifying information, should be addressed.
      - Address who will have access to study records, data, and specimens, including an acknowledgment that representatives of USAMRMC are eligible to review study records.
      - Address requirements for reporting sensitive information to state or local authorities.

    - **Disposition of data:** Describe where data (both electronic and hard copy) will be stored, how the data will be stored, who will keep the stored data, and the length of time data will be stored.

    - **Data reporting:** Describe how data will be reported and how it will be assured that the documentation will support a regulatory filing with the FDA, if applicable.

    - **Sharing study results:** In cases where the human subject could possibly benefit medically or otherwise from the information, explain whether or not the results of screening and/or study participation will be shared with human subjects or their primary care provider, to include results from any screening or diagnostic tests performed as part of the study.

  b. **Laboratory Evaluations**

    - **Specimens to be collected, schedule, and amount:** All specimens that will be collected for study purposes must be clearly stated. The collection schedule and amount of material collected must also be clearly described.
- **Evaluations to be made:** Describe all evaluations that will be made for study purposes. Explain how the results of laboratory evaluations will be used to meet the objectives of the study (or to monitor safety of human subjects).

- **Storage:** Describe specimen storage, to include location of storage, how long specimens will be stored, any special conditions required, labeling, and disposition. Outline the plan to store specimens for future use to include considerations for informed consent and providing human subjects with an opportunity to decline participation in the study.

- **Labs performing evaluations and special precautions:** Identify the laboratory performing each evaluation, as well as any special precautions that should be taken in handling the samples. Special precautions that should be taken by the human subject before, during, or after the laboratory procedure should be clearly defined. If transport of samples is required, describe provisions for ensuring proper storage during transport.

  o **Attachment 13: DoD Military Budget Form(s), if applicable:** Upload as “MFBudget.pdf.” If a military facility (Military Health System facility, research laboratory, medical treatment facility, dental treatment facility, or a DoD activity embedded with a civilian medical center) will be a collaborator in performance of the project, complete the DoD Military Budget Form, available for download on the eBRAP “Funding Opportunities & Forms” web page (https://ebrap.org/eBRAP/public/Program.htm), including a budget justification, for each military facility as instructed. The costs per year should be included on the Grants.gov Research and Related Budget form under subaward costs. Refer to the General Application Instructions, Section III.A.7, for detailed information.

- **Extramural and Intramural Applications**

  **Research & Related Senior/Key Person Profile (Expanded):** For extramural submissions (via Grants.gov), refer to the General Application Instructions, Section III.A.3, and for intramural submissions (via eBRAP), refer to the General Application Instructions, Section IV.A.2, for detailed information.

  o **PI Biographical Sketch (six-page limit):** Upload as “Biosketch_LastName.pdf.” The suggested biographical sketch format is available on the “Funding Opportunities & Forms” web page (https://ebrap.org/eBRAP/public/Program.htm) in eBRAP. The National Institutes of Health Biographical Sketch may also be used. All biographical sketches should be submitted in the portable document format (PDF) that is not editable.

  o **PI Previous/Current/Pending Support (no page limit):** Upload as “Support_LastName.pdf.”

  o **Key Personnel Biographical Sketches (six-page limit each):** Upload as “Biosketch_LastName.pdf.”
• Key Personnel Previous/Current/Pending Support (no page limit): Upload as “Support_LastName.pdf.”

**Research & Related Budget:** For extramural submissions (via Grants.gov), refer to the General Application Instructions, Section III.A.4, and for intramural submissions (via eBRAP), refer to the General Application Instructions, Section IV.A.3, for detailed information.

**Budget Justification (no page limit):** Upload as “BudgetJustification.pdf.” The budget justification for the entire period of performance must be uploaded to the Research & Related Budget after completion of the budget for Period 1.

**Project/Performance Site Location(s) Form:** For extramural submissions (via Grants.gov), refer to the General Application Instructions, Section III.A.5, and for intramural submissions (via eBRAP), refer to the General Application Instructions, Section IV.A.4, for detailed information.

- **Extramural Applications Only** –

  **R&R Subaward Budget Attachment(s) Form (if applicable):** Refer to the General Application Instructions, Section III.A.6, for detailed information.

  ○ **Extramural Subaward:** Complete the Research & Related Subaward Budget Form through Grants.gov. (Refer to the General Application Instructions, Section III.A.6, for detailed information.)

**Intramural DoD Collaborator(s):** Complete the DoD Military Budget Form and upload to Grants.gov as Attachment 13. (Refer to the General Application Instructions, Section III.A.7, for detailed information.) Intramural DoD Collaborator(s) costs per year should be included on the Grants.gov Research and Related Budget form under subaward costs.

**II.D.3. Dun and Bradstreet Data Universal Numbering System (DUNS) Number and System for Award Management (SAM)**

Applicant organizations and all subrecipient organizations must have a DUNS number to submit applications to Grants.gov. The applicant organization must also be registered in the Entity Management functional area of the SAM with an “Active” status to submit applications through the Grants.gov portal. Verify the status of the applicant’s organization’s Entity registration in SAM well in advance of the application submission deadline. Allow 3 to 4 weeks to complete the entire SAM registration process. If an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant. Refer to the General Application Instructions, Section III, for further information regarding Grants.gov requirements.
II.D.4. Submission Dates and Times

All submission dates and times are indicated in Section I, Overview of the Funding Opportunity. Pre-application and application submissions are required. The pre-application and application submission process should be started early to avoid missing deadlines. There are no grace periods. Failure to meet either of these deadlines will result in submission rejection.

Applicant Verification of Full Application Submission in eBRAP

Prior to the end of the application verification period, PIs and organizational representatives can review and modify in eBRAP certain components of a submitted application. Following retrieval and processing of the full application, eBRAP will notify the organizational representatives and PI by email to log into eBRAP to review, modify, and verify the full application submission. eBRAP will validate retrieved files against the specific Program Announcement requirements and discrepancies will be noted in both the email and in the Full Application Files tab in eBRAP. eBRAP does not confirm the accuracy of file content. It is the applicant’s responsibility to review all application components and ensure proper ordering as specified in the Program Announcement. If either the Project Narrative or the budget fails eBRAP validation or needs to be modified, an updated full application package must be submitted prior to the application submission deadline. The Project Narrative and Budget Form cannot be changed after the application submission deadline.

II.D.5. Funding Restrictions

It is the responsibility of the PI to select the Funding Level that is most appropriate for the proposed research project. The requested budget level should be appropriate for the scope of research proposed.

For Funding Level 1/New Investigator Applications:

The maximum period of performance is 3 years.

The anticipated total costs budgeted for the entire period of performance will not exceed $500,000. If indirect cost rates have been negotiated, indirect costs are to be budgeted in accordance with the organization’s negotiated rate. No budget will be approved by the Government exceeding $500,000 total costs or using an indirect cost rate exceeding the organization’s negotiated rate.

For Funding Level 2 Applications:

The maximum period of performance is 4 years.

The anticipated total costs budgeted for the entire period of performance will not exceed $2.5M. If indirect cost rates have been negotiated, indirect costs are to be budgeted in accordance with the organization’s negotiated rate. No budget will be approved by the Government exceeding $2.5M total costs or using an indirect cost rate exceeding the organization’s negotiated rate.
For All Applications:

All direct and indirect costs of any subaward or contract must be included in the total direct costs of the primary award.

The applicant may request the entire maximum funding amount for a project that may have a period of performance less than the maximum 3 years for Funding Level 1/New Investigator applications, or 4 years for Funding Level 2 applications.

For this award mechanism, direct costs must be requested for:

- Travel costs for the PI to disseminate project results at one OPORP In-Progress Review meeting during Year 2 or beyond in the period of performance. For planning purposes, it should be assumed that the meeting will be held in the National Capital Area. These travel costs are in addition to those allowed for annual scientific/technical meetings.

- Travel costs for the PI to disseminate project results at one DoD-sponsored scientific meeting (e.g., Military Health System Research Symposium) during Year 2 or beyond in the period of performance. These travel costs are in addition to those allowed for annual scientific/technical meetings.

May be requested for (not all-inclusive):

- Salary of non-Government personnel, which includes contract research personnel at Government facilities
- Research supplies and equipment
- Research-related subject costs
- Clinical research costs
- Clinical trial costs
- Support for multidisciplinary collaborations, including travel
- Travel costs for up to two investigators to travel to two scientific/technical meetings per year in addition to the required meetings described above

Extramural (non-Federal) awards will consist solely of assistance agreements (Cooperative Agreements and Grants). For extramural awards with an intragovernmental component, direct transfer of funds from an extramural award recipient to a DoD or other Federal agency is not allowed except under very limited circumstances. Funding to intramural DoD and other Federal agencies will be managed through a direct fund transfer. Intragovernmental only funding to intramural DoD and other Federal agencies will be managed through a direct fund transfer. Intramural applicants are responsible for coordinating through their agency’s procedures the use of contractual or assistance funding awards or other appropriate agreements to support extramural collaborators.
Refer to the General Application Instructions, Section III.A.4, for budget regulations and instructions for the Research & Related Budget. *For Federal agencies or organizations collaborating with Federal agencies, budget restrictions apply as are noted in the General Application Instructions, Section III.A.4.*

The CDMRP expects to allot approximately $9M of the $10M FY17 OPORP appropriation to fund approximately three OPORA Funding Level 1/New Investigator applications and three OPORA Funding Level 2 applications, depending on the quality and number of applications received. Funding of applications received in response to this Program Announcement is contingent upon the availability of Federal funds for this program.

II.D.6. Other Submission Requirements

Refer to the General Application Instructions, Appendix 4, for detailed formatting guidelines.

II.E. Application Review Information

II.E.1. Criteria

II.E.1.a. Peer Review

To determine technical merit, all applications will be evaluated according to the following scored criteria, which are of equal importance:

- **Research Strategy and Feasibility**
  - How well the preliminary data (if applicable) and scientific rationale support the research project.
  - How relevant and applicable the proposed research and anticipated findings are to at least one of the FY17 OPORP OPORA Focus Areas.
  - How well the hypotheses or objectives, aims, experimental design, methods, and analyses are developed and integrated into the project.
  - How consistent the methods and procedures are with a sound research design.
  - How well the application acknowledges potential problems and addresses alternative approaches.
  - Whether the research can be completed within the proposed period of performance.
  - To what degree the statistical model and data analysis plan are suitable for the planned study.
  - How well the application outlines a plan for management and sharing of research data as appropriate for the type of study.
○ If applicable, whether data will be appropriately reported and documented to support a regulatory filing with the FDA.

○ For clinical trials and research involving human subjects:
  – How well the application describes the population(s) of interest, demonstrates access to these populations, has a viable plan for recruitment, consent, screening, and retention of appropriate subjects, and identifies sampling methods to gain a representative sample from the population(s) of interest.
  – How well plans for addressing ethical and regulatory considerations have been developed, including mitigation of risk, consideration of privacy issues, and the process for obtaining informed consent.
  – If applicable, whether there is evidence demonstrating availability of the orthotic or prosthetic device from its source for the duration of the proposed study.

• Military Benefit and Impact
  ○ How well the application describes the potential immediate and long-term impact on patient care.
  ○ The potential immediate or long-term benefit and usability of the proposed research outcomes on the health and well-being of Service members, Veterans, and/or their families or communities.

• Personnel
  ○ How the background and expertise of the PI and other key personnel demonstrate their ability to perform the proposed research or clinical trial.
  ○ How the PI’s record of accomplishment demonstrates his/her potential for contributing to the orthotics or prosthetics outcomes research field and completing the proposed work.
  ○ Whether the composition of the research or study team (e.g., study coordinator, statistician) is appropriate.
  ○ How the levels of effort by the PI and other key personnel are appropriate to ensure success of this project.

• Transition Plan
  ○ Whether the funding strategy described to bring the anticipated research outcome(s) to the next level of development and/or delivery to the military or civilian market is appropriate.
  ○ Whether appropriate collaborations and other resources for providing continuity of development are established and/or well-described.
○ Whether the schedule and milestones for bringing the outcome(s) to the next level of development are appropriate.

○ If applicable, how well the risk analysis for cost, schedule, manufacturability, and sustainability is developed.

○ How well the application identifies intellectual property ownership, describes an appropriate intellectual and material property plan among participating organizations (if applicable), and addresses any impact of intellectual property issues on product development and subsequent Government access to products supported by this Program Announcement.

In addition, the following unscored criteria will also contribute to the overall evaluation of the application:

- **Environment**
  ○ To what degree the scientific environment and the accessibility of institutional/organizational resources support the proposed research.
  ○ Whether the quality and extent of institutional support are appropriate for the proposed project.

- **Budget**
  ○ Whether the total maximum costs are equal to or less than the allowable total maximum costs as published in the Program Announcement.
  ○ Whether the budget is appropriate for the proposed research.

- **Application Presentation**
  ○ To what extent the writing, clarity, and presentation of the application components influence the review.

**II.E.1.b. Programmatic Review**

To make funding recommendations and select the application(s) that, individually or collectively, will best achieve the program objectives, the following criteria are used by programmatic reviewers:

- Ratings and evaluations of the peer reviewers

- Relevance to the mission of the DHP and FY17 OPORP, as evidenced by the following:
  ○ Adherence to the intent of the award mechanism
  ○ Program portfolio composition
II.E.2. Application Review and Selection Process

All applications are evaluated by scientists, clinicians, and consumers in a two-tier review process. The first tier is peer review of applications against established criteria for determining technical merit. Each application is evaluated for its own merit, independent of other applications. The second tier is a programmatic review that makes recommendations for funding to the Commanding General, USAMRMC, on behalf of the DHA and the OASD(HA), based on technical merit, the relevance to the mission of the DHP and OPORP, the specific intent of the award mechanism, and to other specified evaluation criteria in the Program Announcement. Programmatic review is a comparison-based process in which applications with scientific and technical merit compete in a common pool. The highest-scoring applications from the first tier of review are not automatically recommended for funding. Funding recommendations depend on various factors as described in Section II.E.1.b, Programmatic Review. Additional information about the two-tier process used by the CDMRP can be found at http://cdmrp.army.mil/about/fundingprocess.

II.E.3. Integrity and Performance Information

Prior to making an assistance agreement award where the Federal share is expected to exceed the simplified acquisition threshold (currently $150,000) over the period of performance, the Federal awarding agency is required to review and consider any information about the applicant that is available in the Federal Awardee Performance and Integrity Information System (FAPIIS).

An applicant organization, at its option, may review FAPIIS, accessible through SAM, and submit comments to FAPIIS on any information about itself that a Federal awarding agency previously entered and is currently available in FAPIIS.

The Federal awarding agency will consider any comments by the applicant, in addition to other information in the designated integrity and performance system, in making a judgment about the applicant’s integrity, business ethics and record of performance under Federal awards when determining a recipient’s qualification prior to award, according to the qualification standards of the Department of Defense Grant and Agreement Regulations (DoDGAR), Section 22.415.
II.E.4. Anticipated Announcement and Federal Award Dates

All application review dates and times are indicated in Section I, Overview of the Funding Opportunity.

Each PI and organization will receive email notification of posting of the funding recommendation in eBRAP. Each PI will receive a peer review summary statement on the strengths and weaknesses of the application.

II.F. Federal Award Administration Information

II.F.1. Federal Award Notices

Awards will be made no later than September 30, 2018. Refer to the General Application Instructions, Appendix 2, for additional award administration information.

Awards are made to organizations, not to individual PIs. The types of awards made under the Program Announcement will be assistance agreements (grants or cooperative agreements). The level of involvement on the part of DoD during project performance is the key factor in determining whether to award a grant or cooperative agreement.

Extramural Organizations: An assistance agreement (grant or cooperative agreement) is appropriate when the Federal Government transfers a “thing of value,” to a “state, local government,” or “other recipient,” to carry out a public purpose of support or stimulation authorized by a law of the United States, instead of acquiring property or service for the direct benefit and use of the U.S. Government. An assistance agreement can take the form of a grant or cooperative agreement. If “no substantial involvement” on the part of the funding agency is anticipated, a grant award will be made (31 USC 6304). Conversely, if substantial involvement on the part of the funding agency is anticipated, a cooperative agreement will be made (31 USC 6305). Substantial involvement may include collaboration, participation, or intervention in the research to be performed under the award. The award type, along with the start date, will be determined during the negotiation process.

After email notification of application review results through the eBRAP, and if selected for funding, a representative from the USAMRAA will contact the business official authorized to negotiate on behalf of the PI’s organization.

Only an appointed USAMRAA Grants Officer may obligate the Government to the expenditure of funds. No commitment on the part of the Government should be inferred from discussions with any other individual. The award document signed by the Grants Officer is the official authorizing documents.

Intramural Organizations: Awards to Federal Government organizations (to include intramural DoD organizations) will be executed through the Military Interdepartmental Purchase Request (MIPR) or Funding Authorization Document (FAD) process. Transfer of funds is contingent upon appropriate safety and administrative approvals. Intramural applicants and collaborators
are reminded to coordinate receipt and commitment of funds through their respective resource managers (RM).

After email notification of application review results through the eBRAP, and if selected for funding, a representative from the CDMRP will contact the business official authorized to negotiate on behalf of the PI’s organization.

II.F.1.a. Award Transfers

Changes in PI are not allowed, except under extenuating circumstances that will be evaluated on a case-by-case basis and at the discretion of the Grants Officer. An organizational transfer of an award will not be allowed in the last year of the (original) period of performance or any extension thereof.

Refer to the General Application Instructions, Appendix 2, Section B, for general information on organization or PI changes.

II.F.2. Administrative and National Policy Requirements

In-person OPORP In-Progress Review presentations will be required.

Applicable requirements in the DoDGAR found in 32 CFR, Chapter 1, Subchapter C, and 2 CFR, Chapter XI, apply to grants and cooperative agreements resulting from this Program Announcement.

Refer to the General Application Instructions, Appendix 2, for general information regarding administrative requirements.

Refer to the General Application Instructions, Appendix 5, for general information regarding national policy requirements.

Refer to full text of the USAMRAA General Research Terms and Conditions for Institutions of Higher Education, Hospitals, and Non-Profit Organizations and the USAMRAA General Research Terms and Conditions with For-Profit Organizations for further information.

II.F.3. Reporting

Refer to the General Application Instructions, Appendix 2, Section A, for general information on reporting requirements.

Quarterly, annual, and final technical progress reports, and quad charts will be required.

Awards resulting from this Program Announcement will incorporate additional reporting requirements related to recipient integrity and performance matters. Recipient organizations that have Federal contract, grant, and cooperative agreement awards with a cumulative total value greater than $10,000,000 are required to provide information to FAPIIS about certain civil, criminal, and administrative proceedings that reached final disposition within the most recent 5-year period and that were connected with performance of a Federal award. Recipients are
required to disclose semiannually information about criminal, civil, and administrative proceedings as specified in the applicable Terms and Conditions. The applicable Terms and Conditions for institutions of higher education, hospitals, and nonprofit organizations are available in OAR Article I, Section B, in the July 2016 R&D General Terms and Conditions. The applicable Terms and Conditions for for-profit organizations are available in Section 34 of the February 2017 USAMRAA General Research Terms and Conditions with For-Profit Organizations.

II.G. Federal Awarding Agency Contacts

II.G.1. CDMRP Help Desk

Questions related to Program Announcement content or submission requirements as well as questions related to the pre-application or intramural application submission through eBRAP should be directed to the CDMRP Help Desk, which is available Monday through Friday from 8:00 a.m. to 5:00 p.m. ET. Response times may vary depending upon the volume of inquiries.

Phone: 301-682-5507

Email: help@eBRAP.org

II.G.2. Grants.gov Contact Center

Questions related to extramural application submission through Grants.gov portal should be directed to the Grants.gov Contact Center, which is available 24 hours a day, 7 days a week (closed on U.S. Federal holidays). Note that the CDMRP Help Desk is unable to provide technical assistance with Grants.gov submission.

Phone: 800-518-4726; International 1-606-545-5035

Email: support@grants.gov

Sign up on Grants.gov for “send me change notification emails” by following the link on the Synopsis page for the Program Announcement or by responding to the prompt provided by Grants.gov when first downloading the Grants.gov application package. If the Grants.gov application package is updated or changed, the original version of the application package may not be accepted by Grants.gov.

II.H. Other Information

II.H.1. Program Announcement and General Application Instructions Versions

Questions related to this Program Announcement should refer to the Program name, the Program Announcement name, and the Program Announcement version code 20170516c. The Program Announcement numeric version code will match the General Applications Instructions version code 20170516.
II.H.2. Administrative Actions

After receipt of pre-applications or applications, the following administrative actions may occur:

II.H.2.a. Rejection

The following will result in administrative rejection of the pre-application:

- Preproposal Narrative exceeds page limit.
- Preproposal Narrative is missing.

The following will result in administrative rejection of the application:

- Submission of an application for which a letter of invitation was not received.
- Project Narrative exceeds page limit.
- Project Narrative is missing.
- Budget is missing.
- For Funding Level 1/New Investigator applications:
  - Attachment 9, PI Eligibility Statement, is missing.
- For applications recruiting human subjects:
  - Attachment 11, Human Subject Recruitment and Safety Procedures, is missing.
  - Attachment 12, Data Management, is missing.

II.H.2.b. Modification

- Pages exceeding the specific limits will be removed prior to review for all documents other than the Preproposal Narrative and Project Narrative.
- Documents not requested will be removed.

II.H.2.c. Withdrawal

The following may result in administrative withdrawal of the pre-application or application:

- An FY17 OPORP Programmatic Panel member is named as being involved in the research proposed or is found to have assisted in the pre-application or application processes including, but not limited to, concept design, application development, budget preparation, and the development of any supporting documentation. A list of the FY17 OPORP Programmatic Panel members can be found at [http://cdmrp.army.mil/oporp/panels/oporppanel17](http://cdmrp.army.mil/oporp/panels/oporppanel17).
• The application fails to conform to this Program Announcement description to the extent that appropriate review cannot be conducted.

• Inclusion of URLs, with the exception of links in References Cited and Publication and/or Patent Abstract sections.

• Page size is larger than 8.5 inches x 11.0 inches (approximately 21.59 cm x 27.94 cm).

• To preserve the integrity of its peer and programmatic review processes, the CDMRP discourages inclusion of any employee of its review contractors having any role in the preparation, research or other duties for submitted applications. For FY17, the identities of the peer review contractor and the programmatic review contractor may be found at the CDMRP website (http://cdmrp.army.mil/about/2tierRevProcess). Applications that include names of personnel from either of these companies will be administratively withdrawn unless plans to manage COIs are provided and deemed appropriate by the Grants Officer. Refer to the General Application Instructions, Appendix 3, for detailed information.

• Personnel from applicant or collaborating organizations are found to have contacted persons involved in the review process to gain protected evaluation information or to influence the evaluation process.

• Applications from extramural organizations, including non-DoD Federal agencies, received through eBRAP may be withdrawn.

• Applications submitted by an intramural DoD organization may be withdrawn if the intramural organization cannot coordinate the use of contractual, assistance, or other appropriate agreements to provide funds to extramural collaborators.

• The invited application does not propose the same research project described in the pre-application.

• If animal studies are proposed, the application will be withdrawn.

• For Funding Level I/New Investigator applications, an application for which the PI does not meet the eligibility criteria may be withdrawn.

• Applications may be administratively withdrawn from further consideration if the applicant cannot demonstrate access to the relevant study population or resources.

II.H.2.d. Withhold

Applications that appear to involve research misconduct will be administratively withheld from further consideration pending organizational investigation. The organization will be required to provide the findings of the investigation to the USAMRAA Grants Officer for a determination of the final disposition of the application.
II.H.3. Application Submission Checklist

<table>
<thead>
<tr>
<th>Application Components</th>
<th>Action</th>
<th>Completed</th>
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<tr>
<td>SF424 (R&amp;R) Application for Federal Assistance (Extramural submissions only)</td>
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<tr>
<td>Summary (Tab 1) and Application Contacts (Tab 2) (Intramural submissions only)</td>
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<td>Attachments</td>
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<td></td>
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<td>Project Narrative: Upload as Attachment 1 with file name “ProjectNarrative.pdf.”</td>
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<td>Supporting Documentation: Upload as Attachment 2 with file name “Support.pdf.”</td>
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<td>Technical Abstract: Upload as Attachment 3 with file name “TechAbs.pdf.”</td>
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<tr>
<td>Lay Abstract: Upload as Attachment 4 with file name “LayAbs.pdf.”</td>
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<td>Statement of Work: Upload as Attachment 5 with file name “SOW.pdf.”</td>
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<tr>
<td>Military Benefit and Impact Statement: Upload as Attachment 6 with file name “MilBen.pdf.”</td>
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<tr>
<td>Transition Plan: Upload as Attachment 7 with file name “Transition.pdf.”</td>
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<tr>
<td>Current Quad Chart: Upload as Attachment 8 with file name “QuadChart.ppt.”</td>
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<tr>
<td>PI Eligibility Statement (for Funding Level 1/New Investigator applications): Upload as Attachment 9 with file name “PIEligibility.pdf,” if applicable.</td>
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<tr>
<td>IND/IDE Documentation: Upload as Attachment 10 with file name “IND-IDE.pdf,” if applicable.</td>
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<tr>
<td>Data Management: Upload as Attachment 12 with file name “Data_Manage.pdf,” if applicable.</td>
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<tr>
<td>DoD Military Budget Form(s): Upload as Attachment 13 with file name “MFBudget.pdf,” if applicable.</td>
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<tr>
<td></td>
<td>Attach PI Previous/Current/Pending Support (Support_LastName.pdf) to the appropriate field.</td>
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<tr>
<td></td>
<td>Attach Biographical Sketch (Biosketch_LastName.pdf) for each senior/key person to the appropriate field.</td>
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<td></td>
<td>Attach Previous/Current/Pending (Support_LastName.pdf) for each senior/key person to the appropriate field.</td>
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<td><strong>Additional Application Components</strong></td>
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<td>Research &amp; Related Budget (Extramural submissions only)</td>
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<tr>
<td>Budget (Intramural submissions only)</td>
<td>Complete the DoD Military Budget Form and justification.</td>
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<td>Project/Performance Site Location(s) Form</td>
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<td>R&amp;R Subaward Budget Attachment(s) Form, if applicable</td>
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### APPENDIX 1: ACRONYM LIST

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<thead>
<tr>
<th>Acronym</th>
<th>Description</th>
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<tr>
<td>CDMRP</td>
<td>Congressionally Directed Medical Research Programs</td>
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<tr>
<td>CFR</td>
<td>Code of Federal Regulations</td>
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<tr>
<td>COI</td>
<td>Conflict of Interest</td>
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<td>DHA</td>
<td>Defense Health Agency</td>
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<td>DHP</td>
<td>Defense Health Program</td>
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<tr>
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<td>Department of Defense</td>
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<td>DoDGAR</td>
<td>Department of Defense Grant and Agreement Regulations</td>
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<td>DUNS</td>
<td>Data Universal Numbering System</td>
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<td>eBRAP</td>
<td>Electronic Biomedical Research Application Portal</td>
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<td>Ethics Committee</td>
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<td>ET</td>
<td>Eastern Time</td>
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<tr>
<td>FAD</td>
<td>Funding Authorization Document</td>
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<td>FAPIIS</td>
<td>Federal Awardee Performance and Integrity Information System</td>
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<tr>
<td>FDA</td>
<td>U.S. Food and Drug Administration</td>
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<td>Fiscal Year</td>
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<td>Human Research Protection Office</td>
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<td>Investigational Device Exemption</td>
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<td>IND</td>
<td>Investigational New Drug</td>
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<td>IRB</td>
<td>Institutional Review Board</td>
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<td>LAR</td>
<td>Legally Authorized Representative</td>
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<td>Million</td>
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<td>Military Interdepartmental Purchase Request</td>
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<td>Office of the Assistant Secretary of Defense for Health Affairs</td>
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<td>Research, Development, Test, and Evaluation</td>
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