

ORGANIZATION _____ DATE _____

REPRESENTATIONS
FOR ASSISTANCE AGREEMENTS

1. TYPE OF BUSINESS ORGANIZATION

The offeror, by checking all applicable boxes, represents that it operates as:

- _____ an Educational Institution (____ state-controlled or
_____ private)
- _____ a Nonprofit Organization
- _____ a For-Profit Organization
- _____ an Historically Black College or University
- _____ a Minority Institution
- _____ a Small Business
- _____ a Large Business

2. AUTHORIZED NEGOTIATORS

The offeror or quoter represents that the following persons are authorized to negotiate on its behalf with the Government in connection with this request for proposals or quotations:

(list names, titles, and telephone and FAX numbers of the authorized negotiators).

3. DUNS NUMBER

The offeror is requested to provide the 9-digit DUNS number on the following line:

DUNS Number: _____

If the offeror does not have a DUNS number, go to website:
<http://www.dnb.com>.

4. TAXPAYER IDENTIFICATION

Definitions.

"Common parent," as used in this solicitation provision, means that corporate entity that owns or controls an affiliated group of corporations that files its Federal income tax returns on a consolidated basis, and of which the offeror is a member.

"Corporate status," as used in this solicitation provision, means a designation as to whether the offeror is a corporate entity, an

unincorporated entity (e.g., sole proprietorship or partnership), or a corporation providing medical and health care services.

"Taxpayer Identification Number (TIN)," as used in this solicitation provision, means the number required by the IRS to be used by the offeror in reporting income tax and other returns.

All offerors are required to submit the information required in paragraphs (c) through (e) of this solicitation provision in order to comply with reporting requirements of 26 U.S.C. 6041, 6041A, and 6050M and comply with reporting requirements of 26 U.S.C. 6041, 6041A, and 6050M and implementing regulations issued by the Internal Revenue Service (IRS). If the resulting award is subject to the reporting requirements described in FAR 4.903, the failure or refusal by the offeror to furnish the information may result in a 20 percent reduction of payments otherwise due under the award.

Taxpayer Identification Number (TIN)

TIN: _____

TIN has been applied for

TIN is not required because:

Offeror is a nonresident alien, foreign corporation, or foreign partnership that does not have income effectively connected with the conduct of a trade or business in the U.S. and does not have an office or place of business or a fiscal paying agent in the U.S.;

Offeror is an agency or instrumentality of a foreign government;

Offeror is an agency or instrumentality of a Federal, state, or local government;

Other. State basis. _____

Corporate Status

Corporation providing medical and health care services, or engaged in the billing and collecting of payments for such services;

Other corporate entity;

Not a corporate entity;

Sole proprietorship

Partnership

Hospital or extended care facility described in 26 CFR 501(c) (3) that is exempt from taxation under 26 CFR 501(a).

Common Parent

Offeror is not owned or controlled by a common parent as defined above.

/_/ Name and TIN of common parent:

Name _____

TIN _____

5. INSTITUTION CODE

The Offeror is requested to provide its Federal Interagency Committee on Education (FICE) Institution Code on the following line:

Institution Code: _____

6. COMMERCIAL AND GOVERNMENT ENTITY (CAGE) CODE REPORTING

The Offeror is requested to enter its CAGE code on the following line and on its offer in the block with its name and address. The CAGE code entered must be for that name and address. Enter CAGE before the number.

CAGE Code: _____

If the Offeror does not have a CAGE code, go to website: <http://www.dlis.dla.mil/cageserv.asp> and follow the instructions given.

The offeror should not delay submission of the offer pending receipt of a CAGE code.

7. RESPONSIBILITY - PERFORMANCE RECORD

Pre-award Survey Information: The Grants Officer must make a determination of a recipient's responsibility prior to awarding a grant or cooperative agreement. The offeror shall complete the following to facilitate this determination.

(a) Yes () No () This organization will be able to accomplish the objectives of the research contained in the schedule. This statement is taking into consideration all existing business commitments, commercial as well as Governmental.

(b) A minimum of two current references (preferably Governmental) for whom contracts, grants or cooperative agreements for same/similar items identified in this proposal have been satisfactorily completed.

NAME OF AGENCY

NAME OF AGENCY

AWARD NO. DATE

AWARD NO. DATE

AMOUNT

AMOUNT

TITLE OF RESEARCH

TITLE OF RESEARCH

GRANTS OFFICER'S NAME

GRANTS OFFICER'S NAME

TELEPHONE NO. AND AREA CODE

TELEPHONE NO. AND AREA CODE

8. AWARD/PAYMENT ADDRESS

In the event the offeror is awarded an agreement, the offeror shall indicate below the **award address**:

The offeror shall indicate below the address to which any payments should be mailed if that address is different from the award address shown above.

Payment address:

9. AUTHORIZATION TO PERFORM

The Recipient represents that it has been duly authorized to operate and to do business in the country or countries in which this award is to be performed. The Recipient also represents that it will fully comply with all laws, decrees, labor standards, and regulations of such country or countries, during the performance of this award.

10. FEDERAL DEMONSTRATION PARTNERSHIP (FDP) STATUS

The recipient represents that it is (), is not () an active member eligible to receive an award under the FDP. Information on the FDP is located at <http://www.fdp3.org>.

Signature _____ Date _____

Typed/Printed Name _____ Title _____