I. OVERVIEW OF THE FUNDING OPPORTUNITY

Program Announcement for the Department of Defense

Defense Health Program

Congressionally Directed Medical Research Programs

Peer Reviewed Cancer Research Program

Behavioral Health Science Award

Announcement Type: Initial

Funding Opportunity Number: W81XWH-20-PRCRP-BHSA

Catalog of Federal Domestic Assistance Number: 12.420 Military Medical Research and Development

SUBMISSION AND REVIEW DATES AND TIMES

- **Pre-Application Submission Deadline:** 5:00 p.m. Eastern time (ET), July 30, 2020
- **Application Submission Deadline:** 11:59 p.m. ET, August 27, 2020
- **End of Application Verification Period:** 5:00 p.m. ET, September 3, 2020
- **Peer Review:** November 2020
- **Programmatic Review:** February 2021
# TABLE OF CONTENTS

## I. OVERVIEW OF THE FUNDING Opportunity ................................................................. 1

## II. DETAILED INFORMATION ABOUT THE FUNDING OPPORTUNITY ................. 3

### II.A. Program Description ................................................................................................ 3

#### II.A.1. FY20 PRCRP Topic Areas .............................................................................. 3

#### II.A.2. FY20 PRCRP Military Health Focus Areas .................................................... 4

#### II.A.3. Award History .................................................................................................. 5

### II.B. Award Information ................................................................................................ 5

### II.C. Eligibility Information ............................................................................................ 8

#### II.C.1. Eligible Applicants .......................................................................................... 8

#### II.C.2. Cost Sharing ..................................................................................................... 9

#### II.C.3. Other ................................................................................................................ 9

### II.D. Application and Submission Information ................................................................. 9

#### II.D.1. Address to Request Application Package ....................................................... 10

#### II.D.2. Content and Form of the Application Submission ......................................... 10

#### II.D.3. Dun and Bradstreet Data Universal Numbering System (DUNS) Number and System for Award Management (SAM) ................................................................. 24

#### II.D.4. Submission Dates and Times ........................................................................... 24

#### II.D.5. Funding Restrictions ....................................................................................... 25

#### II.D.6. Other Submission Requirements ..................................................................... 26

### II.E. Application Review Information .............................................................................. 26

#### II.E.1. Criteria ............................................................................................................. 26

#### II.E.2. Application Review and Selection Process ....................................................... 30

#### II.E.3. Integrity and Performance Information ............................................................. 31

#### II.E.4. Anticipated Announcement and Federal Award Dates .................................. 31

### II.F. Federal Award Administration Information ........................................................... 32

#### II.F.1. Federal Award Notices .................................................................................... 32

#### II.F.2. Administrative and National Policy Requirements ....................................... 32

#### II.F.3. Reporting .......................................................................................................... 33

### II.G. Federal Awarding Agency Contacts .................................................................... 33

#### II.G.1. CDMRP Help Desk ....................................................................................... 33

#### II.G.2. Grants.gov Contact Center ............................................................................ 33

### II.H. Other Information .................................................................................................. 34

#### II.H.1. Program Announcement and General Application Instructions Versions .... 34

#### II.H.2. Administrative Actions .................................................................................... 34

#### II.H.3. Application Submission Checklist .................................................................... 37

## APPENDIX 1: ACRONYM LIST ...................................................................................... 39
II. DETAILED INFORMATION ABOUT THE FUNDING OPPORTUNITY

II.A. Program Description

Applications to the Fiscal Year 2020 (FY20) Peer Reviewed Cancer Research Program (PRCRP) are being solicited for the Defense Health Agency (DHA) J9, Research and Development Directorate, by the U.S. Army Medical Research Acquisition Activity (USAMRAA) using delegated authority provided by United States Code, Title 10, Section 2358 (10 USC 2358). As directed by the Office of the Assistant Secretary of Defense for Health Affairs (OASD[HA]), the DHA manages the Defense Health Program (DHP) Research, Development, Test, and Evaluation (RDT&E) appropriation. The execution management agent for this Program Announcement is the Congressionally Directed Medical Research Programs (CDMRP). The PRCRP was initiated in 2009 to provide support for research of exceptional scientific merit for the benefit of Service members, their families, and the American public. Appropriations for the PRCRP from FY09 through FY19 totaled $429.8 million (M). The FY20 appropriation is $110M.

The goal of the PRCRP is to improve quality of life by decreasing the impact of cancer on active duty Service members, their families, Veterans, and the American public. The PRCRP is charged by Congress with the mission to investigate cancer risks and knowledge gaps that may be relevant to active duty Service members, their families, other military beneficiaries, and the American public.

II.A.1. FY20 PRCRP Topic Areas

To be considered for funding, applications for the FY20 PRCRP Behavioral Health Science Award must address at least one of the FY20 PRCRP Topic Areas as directed by Congress. Congressional language for the FY20 PRCRP provides funds for research into cancers not addressed in the breast, kidney, lung, pancreatic, prostate, ovarian, rare cancer, and melanoma research programs. Research applications in the areas of breast, kidney, lung (excluding mesothelioma), prostate, pancreatic, rare cancer, ovarian cancer, or melanoma will not be accepted. The inclusion of the individual Rare Cancer Research Program shall not prohibit the PRCRP from funding the below mentioned cancers or cancer subtypes that may be rare by definition. The FY20 PRCRP Topic Areas are listed below.
- Bladder cancer
- Blood cancers
- Brain cancer
- Colorectal cancer
- New for FY20: Esophageal cancer
- New for FY20: Head and Neck cancer
- New for FY20: Metastatic cancers
- Neuroblastoma
- Pediatric brain tumors
- Pediatric, adolescent, and young adult cancers
- Stomach cancer
- Liver cancer
- Mesothelioma
- Immunotherapy

For research funded under the FY20 PRCRP Topic Area metastatic cancers research proposed must be targeted to cancer that has spread from its original location to another place in the body, representing what is known as stage III and stage IV cancer diagnoses. While recent research has revealed that there is a genetic basis for susceptibility or resistance to metastasis, more research is needed to develop a comprehensive understanding of this complex process.

Applications to the metastatic cancer topic area should focus on the process of metastasis and not the cancer type (i.e., an agnostic interrogation of the process of metastasis). All research funded under the FY20 PRCRP must follow Congressional language (i.e., prohibited cancers).

II.A.2. FY20 PRCRP Military Health Focus Areas

In addition to addressing at least one of the required FY20 PRCRP Topic Areas, applications for the FY20 PRCRP Behavioral Health Science Award must also address at least one of the FY20 PRCRP Military Health Focus Areas. It is central to the vision and mission of the PRCRP that applications address how the proposed research is related to military health, mission readiness, and the cancer health needs of both deployed and non-deployed military personnel, their dependents, Veterans, and other military beneficiaries (i.e., family members of retirees). The FY20 PRCRP requires all applications answer at least one of the Military Health Focus Areas listed below:

- Environmental/exposure risk factors associated with cancer

- Mission Readiness
  - Gaps in cancer prevention, early detection/diagnosis, prognosis, and/or treatment that may impact mission readiness and the health and well-being of military members, Veterans, their beneficiaries, and the general public
  - Gaps in quality of life and/or survivorship that may impact mission readiness and the health and well-being of military members, Veterans, their beneficiaries, and the general public

1 As derived from the NCI Dictionary of Cancer Terms (https://www.cancer.gov/publications/dictionaries/cancer-terms?cdrid=45729). Immunotherapy is a biological therapy that uses substances to stimulate or suppress the immune system to help the body fight cancer.

2 The definition of adolescents and young adults is derived from the National Cancer Institute (NCI) (https://www.cancer.gov/types/aya). Research should be targeted toward children (ages 0-14 years), adolescents (ages 15-24 years), and/or young adults (ages 25-39 years).
Environmental risk factors should be relevant to the activities specific to the military such as deployments that may lead to exposures to potential carcinogens (ionizing radiation, chemicals, infectious agents, etc.). For more information on military-related exposures and risk factors for cancer, applicants should refer to Exposure Related Health Concerns at https://www.publichealth.va.gov/exposures/health-concerns.asp or to the PRCRP website (https://cdmrp.army.mil/prcrp/).

Examples of impact on mission readiness may include but are not limited to: improvements in survival while minimizing late effects that would allow an active duty Service member to return to full duty; treatments that minimize a cancer patient’s (either Service member or their family member) time in the hospital, thus maximizing the time the Service member is on duty; minimizing cancer relapse for Service members or their families (in the event of a family member’s relapse the active duty Service member is called home regardless of deployment status); and improvements in cancer detection that would lead to an earlier diagnosis, thus allowing for improved treatment of the Service member and early return to duty. More information on mission readiness can be found at https://cdmrp.army.mil/prcrp/pbks/prcrppbk2017.pdf.

Investigators are strongly encouraged to collaborate, integrate, and/or align their research projects with Department of Defense (DoD) and/or Department of Veterans Affairs (VA) research laboratories and programs.

II.A.3. Award History

The PRCRP Behavioral Health Science Award (BHSA) mechanism is being offered for the first time in FY20.

II.B. Award Information

The BHSA supports innovative research and high-reward studies that span the spectrum of behavioral health science including prevention, quality of life, symptom management, resilience, and psychosocial issues related to cancer diagnosis, treatment, and survivorship. Studies are expected to address one or more of these critical issues in at least one of the FY20 PRCRP Topic Areas. Proposed research should focus on at least one of the stages of survivorship as characterized by the American Society for Clinical Oncology: acute, extended, and/or permanent (https://www.cancer.net/survivorship/what-survivorship, https://www.asco.org/sites/new-www.asco.org/files/content-files/practice-and-guidelines/documents/ASCO-guidelines-summary-of-recommendations-tables.pdf).

The overall goal of the BHSA is to advance behavioral health cancer science and fill gaps in the understanding of survivorship, including investigations into the psychological health and well-being of those affected by cancer (e.g., patients, family members). This may include investigations into studies that improve mental health and/or cancer related outcomes in defined populations. Studies also may assess the relationship(s) between behavioral and social functioning in relation to cancer initiation, progression, detection, treatment, and rehabilitation. Applications may propose behavioral science that examines quality of life studies, decision making and/or cognitive function research, development and testing of educational interventions, and symptom management (e.g., toxicity of treatment, palliative care, psychological distress and
anxiety). Applications may target development of evidence-based practices, behavioral health science interventions and surveillance, and identification of psychosocial patient outcomes.

**The critical components of this award mechanism are:**

- **Impact:** The BHSA is intended to support research that demonstrates the potential to have a major impact on patient outcomes, especially during the three stages of survivorship (acute, extended, and/or permanent). The proposed study should demonstrate how the research will transform behavioral health outcomes related to at least one of the FY20 PRCRP Topic Areas. Research should challenge paradigms with respect to impact on patient care and outcomes. Proposed projects may include translational or clinical research, including pilot clinical trials. Impactful research will, if successful, accelerate the movement of promising ideas into clinical applications and advance the behavioral health science in the area of survivorship.

- **Study Design:** Applications should clearly articulate the chosen design of the study. Basic studies should demonstrate research strategy, feasibility, and how the study relates to the human experience with cancer. Studies entailing retrospective or prospective recruitment should define the type of architecture of the study (e.g., descriptive, correlational, field experimental, meta-analyses). Study populations should be defined. The rationale should support the chosen study design with statistical evaluation to back the design. Survey instruments should be described in detail.

- **Preliminary Data:** The BHSA will require preliminary data for all studies that propose the active (prospective) recruitment of human subjects. Studies not proposing active recruitment of human subjects are not required to present preliminary data, but should be supported by sound reasoning and relevant literature.

- **Patient Advocate Participation:** Applications to the BHSA funding opportunity are required to include patient advocates. The research team must include at least two cancer patient advocates, who will be integral throughout the planning and implementation of the research project. Patient advocates should be involved in the development of the research question, project design, oversight, and evaluation, as well as other significant aspects of the proposed project. Interactions with other team members should be well integrated and ongoing, not limited to attending seminars and semi-annual meetings. As lay representatives, the patient advocates must be individuals who have been diagnosed or a caretaker of a patient with one of the FY20 PRCRP Topics Areas, and they should be active in a cancer advocacy organization. Their role should be focused on providing objective input on the research and its potential impact for individuals with, or at risk for, cancer. The patient advocates should have a high level of knowledge of current cancer issues.

- **Military Health:** The proposed research must address at least one of the FY20 PRCRP Military Health Focus Areas. The proposed research must be relevant to active duty Service members, Veterans, and their beneficiaries. Behavioral health is of particular importance to the military and applications should demonstrate how the proposed studies will enhance military health and well-being with respect to cancer.
A Congressionally mandated Metastatic Cancer Task Force was formed with the purpose of identifying ways to help accelerate clinical and translational research aimed at extending the lives of advanced state and recurrent patients. As a member of the Metastatic Cancer Task Force, CDMRP encourages applicants to review the recommendations (https://health.mil/Reference-Center/Congressional-Testimonies/2018/05/03/Metastatic-Cancer-Research) and submit research ideas to address these recommendations provided they are within the limitations of this funding opportunity and fit within the FY20 PRCRP priorities.

The proposed research must be relevant to active duty Service members, Veterans, military beneficiaries, and/or the American public.

The types of awards made under the Program Announcement will be assistance agreements. An assistance agreement is appropriate when the Federal Government transfers a “thing of value” to a “state, local government,” or “other recipient” to carry out a public purpose of support or stimulation authorized by a law of the United States instead of acquiring property or service for the direct benefit and use of the U.S. Government. An assistance agreement can take the form of a grant or cooperative agreement. The level of involvement on the part of the DoD during project performance is the key factor in determining whether to award a grant or cooperative agreement. If “no substantial involvement” on the part of the funding agency is anticipated, a grant award will be made (31 USC 6304). Conversely, if substantial involvement on the part of the funding agency is anticipated, a cooperative agreement will be made (31 USC 6305), and the award will identify the specific substantial involvement. Substantial involvement may include, but is not limited to, collaboration, participation, or intervention in the research to be performed under the award. The award type, along with the start date, will be determined during the negotiation process.

The anticipated direct costs budgeted for the entire period of performance for an FY20 PRCRP BHSA award will not exceed $1,000,000. Refer to Section II.D.5, Funding Restrictions, for detailed funding information.

Awards will be made no later than September 30, 2021. For additional information refer to Section II.F.1, Federal Award Notices.

The CDMRP expects to allot approximately $3.2M to fund approximately 2 Behavioral Health Science Award applications. Funding of applications received is contingent upon the availability of Federal funds for this program as well as the number of applications received, the quality and merit of the applications as evaluated by scientific and programmatic review, and the requirements of the Government. Funds to be obligated on any award resulting from this funding opportunity will be available for use for a limited time period based on the fiscal year of the funds. It is anticipated that awards made from this FY20 funding opportunity will be funded with FY20 funds, which will expire for use on September 30, 2026.

Research Involving Human Anatomical Substances, Human Subjects, or Human Cadavers: All DoD-funded research involving new and ongoing research with human anatomical substances, human subjects, or human cadavers must be reviewed and approved by the U.S. Army Medical Research and Development Command (USAMRDC) Office of Research Protections (ORP), Human Research Protection Office (HRPO), prior to research
implementation. This administrative review requirement is in addition to the local Institutional Review Board (IRB) or Ethics Committee (EC) review. Local IRB/EC approval at the time of submission is not required. **Allow a minimum of 2 to 3 months for HRPO regulatory review and approval processes**. Refer to the General Application Instructions, Appendix 1, and the Human Subject Resource Document available on the electronic Biomedical Research Application Portal (eBRAP) “Funding Opportunities & Forms” web page ([https://ebrap.org/eBRAP/public/Program.htm](https://ebrap.org/eBRAP/public/Program.htm)) for additional information. If the proposed research is cooperative (i.e., involving more than one institution), a written plan for single IRB review arrangements must be provided at the time of application submission or award negotiation. The lead institution responsible for developing the master protocol and master consent form should be identified and should be the single point of contact for regulatory submissions and requirements.

**Use of DoD or VA Resources:** If the proposed research involves access to active duty military patient populations and/or DoD resources or databases, the application must describe the access at the time of submission and include a plan for maintaining access as needed throughout the proposed research. Refer to Section II.D.2.b.ii, Full Application Submission Components, for detailed information. Refer to the General Application Instructions, Appendix 1, for additional information.

**Research Involving Animals:** All DoD-funded research involving new and ongoing research with animals must be reviewed and approved by the USAMRDC ORP Animal Care and Use Review Office (ACURO), in addition to the local Institutional Animal Care and Use Committee (IACUC) of record. IACUC approval at the time of submission is not required. **Allow at least 3 to 4 months for ACURO regulatory review and approval processes for animal studies.** Refer to the General Application Instructions, Appendix 1, for additional information.

**II.C. Eligibility Information**

**II.C.1. Eligible Applicants**

**II.C.1.a. Organization:** All organizations, including international organizations, are eligible to apply.

**Government Agencies Within the United States:** Local, state, and Federal Government agencies are eligible to the extent that applications do not overlap with their fully funded internal programs. Such agencies are required to explain how their applications do not overlap with their internal programs.

As applications for this Program Announcement may be submitted by extramural and intramural organizations, these terms are defined below.

**Extramural Organization:** An eligible non-DoD organization. Examples of extramural organizations include academic institutions, biotechnology companies, foundations, Federal Government organization other than the DoD, and research institutes.
**Intramural DoD Organization:** A DoD laboratory, DoD military treatment facility, and/or DoD activity embedded within a civilian medical center. **Intramural Submission:** Application submitted by a DoD organization for an intramural investigator working within a DoD laboratory or military treatment facility or in a DoD activity embedded within a civilian medical center.

USAMRAA makes awards to eligible organizations, not to individuals.

**II.C.1.b. Principal Investigator**

To be named as the Principal Investigator (PI) on application, the PI must have a faculty-level appointment or (equivalent).

An eligible PI, regardless of ethnicity, nationality, or citizenship status, must be employed by, or affiliated with, an eligible organization.

The CDMRP encourages all PIs to participate in a digital identifier initiative through Open Researcher and Contributor ID, Inc. (ORCID). Registration for a unique ORCID identifier can be done online at [https://orcid.org/](https://orcid.org/).

**II.C.2. Cost Sharing**

Cost sharing/matching is not an eligibility requirement.

**II.C.3. Other**

Organizations must be able to access .gov and .mil websites in order to fulfill the financial and technical deliverable requirements of the award and submit invoices for payment.

For general information on required qualifications for award recipients, refer to the General Application Instructions, Appendix 3.

Refer to [Section II.H.2, Administrative Actions](#), for a list of administrative actions that may be taken if a pre-application or application does not meet the administrative, eligibility, or ethical requirements defined in this Program Announcement.

**II.D. Application and Submission Information**

*Submission of applications that are essentially identical or propose essentially the same research project to different funding opportunities within the same program and fiscal year is prohibited and will result in administrative withdrawal of the duplicative application(s).*

**Extramural Submission:**

- Pre-application content and forms must be accessed and submitted at [eBRAP.org](http://eBRAP.org).
- Full application packages must be accessed and submitted at Grants.gov.
Intramural DoD Submission:

- Pre-application content and forms must be accessed and submitted at eBRAP.org.
- Full application packages must be accessed and submitted at eBRAP.org

Note: Applications from an intramural DoD organization or from an extramural Federal Government organization may be submitted to Grants.gov through a research foundation.

II.D.1. Address to Request Application Package

eBRAP is a multifunctional web-based system that allows PIs to submit their pre-applications electronically through a secure connection, to view and edit the content of their pre-applications and full applications, to receive communications from the CDMRP, and to submit documentation during award negotiations and period of performance.

Contact information for the CDMRP Help Desk and the Grants.gov Contact Center can be found in Section II.G, Federal Awarding Agency Contacts.

II.D.2. Content and Form of the Application Submission

Submission is a two-step process requiring both pre-application (eBRAP.org) and full application (eBRAP.org or Grants.gov) as indicated below. The submission process should be started early to avoid missing deadlines. There are no grace periods. Full application submission guidelines differ for extramural (Grants.gov) and intramural (eBRAP.org) organizations (refer to Table 1. Full Application Guidelines).

The application title, eBRAP log number, and all information for the PI, Business Official(s), performing organization, and contracting organization must be consistent throughout the entire pre-application and full application submission process. Inconsistencies may delay application processing and limit or negate the ability to view, modify, and verify the application in eBRAP. If any changes need to be made, the applicant should contact the CDMRP Help Desk at help@eBRAP.org or 301-682-5507 prior to the application submission deadline.

II.D.2.a. Step 1: Pre-Application Submission Content

During the pre-application process, eBRAP assigns each submission a unique log number. This unique eBRAP log number is required during the full application submission process.

To begin the pre-application process, first select whether the submitting organization is extramural or intramural, then confirm your selection or cancel. Incorrect selection of extramural or intramural submission type will delay processing.

If an error has been made in the selection of extramural versus intramural and the pre-application submission deadline has passed, the PI or Business Official must contact the CDMRP Help Desk at help@eBRAP.org or 301-682-5507 to request a change in designation.
All pre-application components must be submitted by the PI through eBRAP (https://eBRAP.org/).

The applicant organization and associated PIs identified in the pre-application should be the same as those intended for the subsequent application submission. If any changes are necessary after submission of the pre-application, the applicant must contact the CDMRP Help Desk at help@eBRAP.org or 301-682-5507.

PIs with an ORCID identifier should enter that information in the appropriate field in the “My Profile” tab in the “Account Information” section of eBRAP.

The pre-application consists of the following components, which are organized in eBRAP by separate tabs (refer to the General Application Instructions, Section II.B, for additional information on pre-application submission):

- **Tab 1 – Application Information**

  Submission of application information includes assignment of primary and secondary research classification codes, which may be found at https://ebrap.org/eBRAP/public/Program.htm. Applicants are strongly encouraged to review and confirm the codes prior to making their selection.

- **Tab 2 – Application Contacts**

  Enter contact information for the PI. Enter the organization’s Business Official responsible for sponsored program administration (the “person to be contacted on matters involving this application” in Block 5 of the Grants.gov SF424 Research & Related Form). The Business Official must be either selected from the eBRAP list or invited in order for the pre-application to be submitted.

  Select the performing organization (site at which the PI will perform the proposed work) and the contracting organization (organization submitting on behalf of the PI, which corresponds to Block 5 on the Grants.gov SF424 Research & Related Form), and click on “Add Organizations to this Pre-application.” The organization(s) must be either selected from the eBRAP drop-down list or invited in order for the pre-application to be submitted.

  It is recommended that applicants identify an Alternate Submitter in the event that assistance with pre-application submission is needed.

- **Tab 3 – Collaborators and Key Personnel**

  Enter the name, organization, and role of all collaborators and key personnel associated with the application.

  FY20 PRCRP Programmatic Panel members should not be involved in any pre-application or application. For questions related to panel members and pre-applications or applications, refer to Section II.H.2.c, Withdrawal, or contact the CDMRP Help Desk at help@eBRAP.org or 301-682-5507.
• **Tab 4 – Conflicts of Interest**

List all individuals other than collaborators and key personnel who may have a conflict of interest in the review of the application (including those with whom the PI has a personal or professional relationship).

• **Tab 5 – Pre-Application Files**

**Letter of Intent (LOI) (one-page limit):** Provide a brief description of the research to be conducted. Include the topic area under which the application will be submitted. LOIs are used for program planning purposes only (e.g., reviewer recruitment) and will not be reviewed during either the peer or programmatic review sessions. An invitation to submit is not required.

• **Tab 6 – Submit Pre-Application**

This tab must be completed for the pre-application to be accepted and processed.

**II.D.2.b. Step 2: Full Application Submission Content**

*The CDMRP cannot make allowances/exceptions to its policies for submission problems encountered by the applicant organization using system-to-system interfaces with Grants.gov.*

Each application submission must include the completed full application package for this Program Announcement. The full application package is submitted by the Authorized Organizational Representative through Grants.gov (https://www.grants.gov/) for extramural organizations or through eBRAP (https://ebrap.org/) for intramural organizations. See Table 1 below for more specific guidelines.

**II.D.2.b.i. Full Application Guidelines**

Extramural organizations must submit full applications through Grants.gov. Applicants must create a Grants.gov Workspace for submission, which allows the application components to be completed online and routed through the applicant organization for review prior to submission. Applicants may choose to download and save individual PDF forms rather than filling out webforms in Workspace. A compatible version of Adobe Reader must be used to view, complete, and submit an application package consisting of PDF forms. If more than one person is entering text into an application package, the same version of Adobe Reader software should be used by each person. Check the version number of the Adobe software on each user’s computer to make sure the versions match. Using different versions of Adobe Reader may cause submission and/or save errors – even if each version is individually compatible with Grants.gov. Refer to the General Application Instructions, Section III, and the “Apply For Grants” page of Grants.gov (https://www.grants.gov/web/grants/applicants/apply-for-grants.html) for further information about the Grants.gov Workspace submission process. Submissions of extramural applications through eBRAP may be withdrawn.

*Do not password protect any files of the application package, including the Project Narrative.*
Table 1. Full Application Submission Guidelines

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<th>Extramural Submissions</th>
<th>Intramural DoD Submissions</th>
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<tbody>
<tr>
<td><strong>Application Package Location</strong></td>
<td><strong>Download application package components for W81XWH-20-PRCRP-BHSA from Grants.gov (<a href="https://www.grants.gov">https://www.grants.gov</a>) and create a Grants.gov Workspace. Workspace allows online completion of the application components and routing of the application package through the applicant organization for review prior to submission.</strong></td>
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</table>

### Full Application Package Components

- **SF424 Research & Related Application for Federal Assistance Form:** Refer to the General Application Instructions, Section III.A.1, for detailed information.

#### Descriptions of each required file can be found under Full Application Submission Components:

- Attachments
- Research & Related Personal Data
- Research & Related Senior/Key Person Profile (Expanded)
- Research & Related Budget
- Project/Performance Site Location(s) Form
- Research & Related Subaward Budget Attachment(s) Form

#### Tab 1 – Summary: Provide a summary of the application information.

#### Tab 2 – Application Contacts: This tab will be pre-populated by eBRAP; add Authorized Organizational Representative.

#### Tab 3 – Full Application Files: Upload files under each Application Component in eBRAP. Descriptions of each required file can be found under Full Application Submission Components:

- Attachments
- Key Personnel
- Budget
- Performance Sites

#### Tab 4 – Application and Budget Data: Review and edit proposed project start date, proposed end date, and budget data pre-populated from the Budget Form.
<table>
<thead>
<tr>
<th>Extramural Submissions</th>
<th>Intramural DoD Submissions</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Application Package Submission</strong></td>
<td><strong>Submit package components to eBRAP</strong> (<a href="https://ebrap.org">https://ebrap.org</a>). <strong>Tab 5 – Submit/Request Approval Full Application:</strong> After all components are uploaded and prior to the full application submission deadline, enter your password in the space provided next to “Enter Your Password Here” and press the “Submit Full Application” button. eBRAP will notify your Resource Manager/Comptroller/Task Area Manager or equivalent Business Official by email. <strong>Do not password protect any files of the application package, including the Project Narrative.</strong></td>
</tr>
<tr>
<td><strong>Create a Grants.gov Workspace.</strong> Add participants (investigators and Business Officials) to Workspace, complete all required forms, and check for errors before submission. <strong>Submit a Grants.gov Workspace Package.</strong> An application may be submitted through Workspace by clicking the “Sign and Submit” button on the “Manage Workspace” page, under the “Forms” tab. Grants.gov recommends submission of the application package <strong>at least 24-48 hours prior to the close date</strong> to allow time to correct any potential technical issues that may disrupt the application submission. <strong>Note:</strong> If either the Project Narrative or the budget fails eBRAP validation or if the Project Narrative or the budget needs to be modified, an updated Grants.gov application package must be submitted via Grants.gov as a “Changed/Corrected Application” with the previous Grants.gov Tracking ID <strong>prior to</strong> the application submission deadline. <strong>Do not password protect any files of the application package, including the Project Narrative.</strong></td>
<td></td>
</tr>
<tr>
<td><strong>Application Verification Period</strong></td>
<td><strong>After eBRAP has processed the full application, the organizational Resource Manager/Comptroller/Task Area Manager or equivalent Business Official and PI will receive email notification of this status and will be able to view and modify application components in eBRAP. During the application verification period, the full application package may be modified with the exception of the Project Narrative and Research &amp; Related Budget Form.</strong></td>
</tr>
<tr>
<td>The full application package submitted to Grants.gov may be viewed and modified in eBRAP until the end of the application verification period. During the application verification period, the full application package may be modified <strong>with the exception of the Project Narrative and Research &amp; Related Budget Form.</strong></td>
<td></td>
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<tr>
<td></td>
<td>Your Resource Manager/Comptroller/Task Area Manager or equivalent Business Official should log into eBRAP to review and to approve prior to the application verification deadline.</td>
</tr>
</tbody>
</table>
The full application package must be submitted using the unique eBRAP log number to avoid delays in application processing.

II.D.2.b.ii. Full Application Submission Components

- Extramural Applications Only

  SF424 Research & Related Application for Federal Assistance Form: Refer to the General Application Instructions, Section III.A.1, for detailed information.

- Extramural and Intramural Applications

Attachments:

*Each attachment to the full application components must be uploaded as an individual file in the format specified and in accordance with the formatting guidelines listed in the General Application Instructions, Appendix 4.*

For all attachments, ensure that the file names are consistent with the guidance. Attachments will be rejected if the file names are longer than 50 characters or have incorrect file names that contain characters other than the following: A-Z, a-z, 0-9, underscore, hyphen, space, and period. In addition, there are file size limits that may apply in some circumstances. Individual attachments may not exceed 20 MB, and the file size for the entire full application package may not exceed 200 MB. *It is important to include the attachment name as a header on each page of the attachment files.*

- Attachment 1: Project Narrative (15-page limit): Upload as “ProjectNarrative.pdf”. The page limit of the Project Narrative applies to text and non-text elements (e.g., figures, tables, graphs, photographs, diagrams, chemical structures, drawings) used to describe the project. Inclusion of URLs that provide additional information to expand the Project Narrative and could confer an unfair competitive advantage is prohibited and may result in administrative withdrawal of the application.
Describe the proposed project in detail using the outline below. *Applications proposing a pilot clinical trial must include preliminary data.*

- **Background:** Present the ideas and reasoning behind the proposed research with relevant literature citations, sound rationale and/or preliminary data (if applicable) in support of the idea. Describe the need or gap in understanding survivorship including how the proposed research may have a major impact on patient outcomes. Articulate how the study will assess the relationship(s) between behavioral and social functioning in at least one of the areas of cancer initiation, progression, detection, treatment, and rehabilitation. State the area of behavioral health science to be studied (e.g., basic behavioral, quality of life, decision making and/or cognitive function, educational interventions, symptom management).

- **Hypothesis or Objective:** State the hypothesis to be tested or the objective to be reached relevant to at least one of the FY20 PRCRP Topic Areas in Section II.A.1 and at least one of the FY120 PRCRP Military Health Focus Areas in Section II.A.2.

- **Specific Aims:** State the specific aims of the study.

- **Innovation:** State how the study is innovative or is a high reward concept in at least one of the areas of survivorship.

- **Research Strategy and Feasibility:** Describe the study design, methods, and analyses in sufficient detail for evaluation including availability of resources (if applicable). Studies entailing retrospective or prospective recruitment should define the type of study (e.g., descriptive, correlational, field experimental, meta-analyses). Study populations should be defined. Address potential problem areas and potential pitfalls, and present alternative methods and approaches. If using psychometric measures, describe their reliability and validity. If use of a biorepository, patient medical files, or meta-analysis is proposed, describe the data to be collected, and the process or methodology to collect the samples (i.e., for biorepositories - the standardization of procedures for collection). Describe how data will be reported and how it will be assured that the documentation will support a regulatory filing with the FDA, if applicable. If human subjects or human anatomical samples will be used, include a plan for the recruitment of subjects or the acquisition of samples and document the experience of the PI and/or key collaborators in recruiting human subjects for similar projects. Basic studies should demonstrate research strategy, feasibility, and how the study relates to the human experience with cancer.

*If funds for a clinical trial are requested, details regarding the Clinical Strategy should be outlined in Attachment 9.*

- **Attachment 2: Supporting Documentation:** Combine and upload as a single file named “Support.pdf”. Start each document on a new page. If documents are scanned to PDF, the lowest resolution (100 to 150 dpi) should be used. The Supporting Documentation attachment should not include additional information such as figures.
Tables, graphs, photographs, diagrams, chemical structures, or drawings. These items should be included in the Project Narrative.

There are no page limits for any of these components unless otherwise noted. Include only those components described below; inclusion of items not requested or viewed as an extension of the Project Narrative will result in the removal of those items or may result in administrative withdrawal of the application.

- References Cited: List the references cited (including URLs, if available) in the Project Narrative using a standard reference format that includes the full citation (i.e., author[s], year published, title of reference, source of reference, volume, chapter, page numbers, and publisher, as appropriate).

- List of Abbreviations, Acronyms, and Symbols: Provide a list of abbreviations, acronyms, and symbols.

- Facilities, Existing Equipment, and Other Resources: Describe the facilities and equipment available for performance of the proposed project and any additional facilities or equipment proposed for acquisition at no cost to the award. Indicate whether or not Government-furnished facilities or equipment are proposed for use. If so, reference should be made to the original or present Government award under which the facilities or equipment items are now accountable. There is no form for this information.

- Publications and/or Patents: Include a list of relevant publication URLs and/or patent abstracts. If articles are not publicly available, then copies of up to five published manuscripts may be included in Attachment 2. Extra items will not be reviewed.

- Letters of Organizational Support: Provide a letter (or letters, if applicable) signed by the Department Chair or appropriate organization official, confirming the laboratory space, equipment, and other resources available for the project. Letters of support not requested in the Program Announcement, such as those from members of Congress, do not impact application review or funding decisions.

- Letters of Collaboration (if applicable): Provide a signed letter from each collaborating individual or organization that will demonstrate that the PI has the support or resources necessary for the proposed work. If an investigator at an intramural organization is named as a collaborator on an application submitted through an extramural organization, the application must include a letter from the collaborator’s Commander or Commanding Officer at the intramural organization that authorizes the collaborator’s involvement.

- Letter of Patient Advocate Commitment: Provide a letter from each patient advocate confirming their commitment to the research project.

  - Intellectual and Material Property Plan (if applicable): Provide a plan for resolving intellectual and material property issues among participating organizations.

  - Commercialization Strategy (if applicable): Describe the commercialization plan. The plan should include intellectual property, market size, financial analysis, strengths and weaknesses, barriers to the market, competitors, and management team. Discuss the significance of this development effort, when it can be anticipated, and the potential commercial use for the technology being developed.

- Data and Research Resources Sharing Plan: Describe how data and resources generated during the performance of the project will be shared with the research community. Refer to the General Application Instructions, Appendix 2, Section K, for more information about the CDMRP expectations for making data and research resources publicly available.

- Use of DoD Resources (if applicable): Provide a letter of support signed by the lowest-ranking person with approval authority confirming access to active duty military populations and/or DoD resources or databases.

- Use of VA Resources (if applicable): Provide a letter of support from the VA Facility Director(s) or individual designated by the VA Facility Director(s), such as the Associate Chief of Staff for Research and Development (ACOS/R&D) or Clinical Service Chief, confirming access to VA patients, resources, and/or VA research space. For VA PIs, if the VA non-profit corporation is not identified as the applicant institution for administering the funds, include a letter from the VA ACOS/R&D confirming this arrangement and identifying the institution that will administer the funds associated with the proposed research.

  - Attachment 3: Technical Abstract (one-page limit): Upload as “TechAbs.pdf”. The technical abstract is used by all reviewers. Abstracts of all funded research projects will be posted publicly. Do not include proprietary or confidential information. Use only characters available on a standard QWERTY keyboard. Spell out all Greek letters, other non-English letters, and symbols. Graphics are not allowed.

  Technical abstracts should be written using the outline below.

  - **Background:** State the FY20 PRCRP Topic Area(s) in Section II.A.1 to be addressed by the proposed research. Present the ideas and reasoning behind the proposed work.

  - **Objective/Hypothesis:** State the objective to be reached/hypothesis to be tested. Describe the overall research goals.

  - **Specific Aims:** State the specific aims of the study.
- **Study Design:** Briefly describe the study design and methodology.

- **Innovation:** Briefly describe how the proposed project is innovative.

- **Military Relevance:** Identify the FY20 PRCRP Military Health Focus Area(s) in Section II.A.2 to be studied. Briefly describe how the proposed research is relevant to active duty Service members, Veterans, and other military beneficiaries.

○ **Attachment 4: Lay Abstract (one-page limit):** Upload as “LayAbs.pdf”. The lay abstract is used by all reviewers. Abstracts of all funded research projects will be posted publicly. *Do not include proprietary or confidential information*. Use only characters available on a standard QWERTY keyboard. Spell out all Greek letters, other non-English letters, and symbols. Graphics are not allowed.

Lay abstracts should be written using the outline below. *Do not duplicate the technical abstract.* Avoid overuse of acronyms and abbreviations, if possible. Describe the proposed research project by including the following elements in plain language.

- State the FY20 PRCRP Topic Area(s) to be addressed by the research project.

- Describe the scientific objective and rationale for the proposed project in a manner that will be *readily understood by readers without a background in science or medicine*.

- Describe the ultimate applicability of the research in at least one area of survivorship. What types of patients will it help, and how will it help them? What are the potential clinical applications, benefits, and risks? If the research is too basic for clinical applicability, describe the interim outcomes expected and their applicability to the field. What is the projected time it may take to achieve a patient relevant outcome? What are the likely contributions of this study to advancing patient care? Basic research should be framed toward the goal of the betterment of the cancer patient or family and demonstrate how it relates to the human experience with cancer.

- State the FY20 PRCRP Military Health Focus Area(s) to be addressed. Describe how the proposed research is relevant to active duty Service members, Veterans, and other military beneficiaries.

○ **Attachment 5: Statement of Work (three-page limit):** Upload as “SOW.pdf”. The suggested SOW format and examples specific to different types of research projects are available on the eBRAP “Funding Opportunities & Forms” web page (https://ebrap.org/eBRAP/public/Program.htm). For the Behavioral Health Science Award mechanism, use the SOW format example titled, “SOW (Statement of Work) Generic Format).” The SOW must be in PDF format prior to attaching.

The SOW should include a list of major tasks that support the proposed specific aims, followed by a series of subtasks outlined related to the major tasks and milestones within the period of performance. The SOW should describe only the work for which funding is being requested by this application and, as applicable, should also:
- Include the name(s) of the key personnel and contact information for each study site/subaward site.

- Indicate the number (and type, if applicable) of research subjects (animal or human) and/or human anatomical samples projected or required for each task and at each site. Refer to the General Application Instructions, Appendix 1, for additional information regarding regulatory requirements.

- For studies with prospective accrual of human subjects, indicate quarterly enrollment targets.

- Identify cell line(s) and commercial or organizational source(s) to be used.

- If applicable, indicate timelines required for regulatory approvals relevant to human subjects research (e.g., Investigational New Drug and Investigational Device Exemption [IND/IDE] applications) by the U.S. Food and Drug Administration or other Government agency.


Describe, in layman’s terms, the behavioral health aspects of the proposed research that may lead to a potential major impact on patient outcomes, especially in terms of the three stages of survivorship (acute, extended and/or permanent). Articulate how the research will accelerate promising findings toward clinical applicability and leverage results to maximize impact on near term patient outcomes. The Impact Statement should be written in plain language for lay persons.

○ Attachment 7: Patient Advocate Involvement Statement (two-page limit): Upload as “Advocate.pdf”.

Provide the names of at least two patient advocates and their affiliation with one of the FY20 PRCRP Topic Areas and cancer advocacy organization(s). Describe the integral roles that the patient advocates will play in the planning, design, implementation, and evaluation of the research. Describe how the patient advocates’ knowledge of current cancer issues and how their background will contribute to the project.

○ Attachment 8: Statistical Analysis and Data Management (no page limit): Upload as “StatsData.pdf”.

Describe the statistical methodology and plan including how it supports the stated hypothesis or objective. If an existing dataset is to be used, describe the dataset and how it supports the aims of the project. State the inclusion and exclusion criteria for the subjects with sound rationale for the criteria, if applicable. Describe the power analysis and whether it determined population numbers; if not, justify why the power analysis is not essential to the statistical evaluation. State if the study will include univariate, bivariate, or multivariate analyses. State the variables to be used in the main analysis; include covariates and how the data will be adjusted to account for covariates, if applicable. For data management, describe methods for data collection (e.g., identifiers, confidentiality). Explain data capture, verification, disposition, if applicable. For...
laboratory projects: describe the evaluations to be made, storage of samples, how large datasets will be organized and maintained.

○ Attachment 9: Clinical Strategy Statement, if applicable (no page limit): Upload as “Clinical.pdf”. If funds for a pilot clinical trial are requested, this attachment is required. Describe the rationale for the proposed clinical trial include supportive preliminary data and relevant literature citations. Provide a description of the trial, and the endpoints to be measured. Provide detailed plans for initiating the clinical study within the first year, including FDA IND/IDE application submission plans within 60 days of the award, if applicable. Indicate the access to the study population, recruitment plans, and inclusion/exclusion criteria. Describe the informed consent process and how the study will strive to include women and minorities (if not provide justification). Describe the type of clinical trial to be performed (e.g., randomized, cohort, case-control, cross-sectional) and outline the proposed methodology in sufficient detail to show a clear course of action. Describe potential challenges and alternative strategies where appropriate. Describe how the clinical trial will inform at least one of the stages of survivorship. If the proposed clinical trial was initiated using other funding prior to this application, explain the history and background of the clinical trial and declare the source of prior funding. Specifically identify the portions of the study that would be supported with funds from this award. Describe how data will be reported and how it will be assured that the documentation will support a regulatory filing with the FDA, if applicable.

○ Attachment 10: Surveys, Questionnaires, and Other Data Collection Instruments, if applicable (no page limit): Upload as “Surveys.pdf”. The Surveys, Questionnaires, and Other Data Collection Instruments attachment should include a copy of the most recent version of surveys, questionnaires, data collection forms, rating scales, interview guides, or other instruments. For each instrument, describe how the information collected is related to the objectives of the study. Describe how and when the instrument(s) will be administered. Describe how the instrument(s) will be adapted to the subject population, if applicable.

○ Attachment 11: Relevance to Military Health Statement (one-page limit): Upload as “MilHealth.pdf”. The Relevance to Military Health Statement will be evaluated by the FY20 PRCRP Programmatic Panel during programmatic review only.

  − State the FY20 PRCRP Military Health Focus Area(s) in Section II.A.2 to be addressed in the study.

  − Identify the environmental and/or exposure risk factors associated with the FY20 PRCRP Topic Area(s) in Section II.A.1 to be studied and their short-term and long-term impact on the basic health, welfare, and/or psychosocial wellness of active duty Service members, Veterans, and other military beneficiaries.

  or
− Identify how the proposed research will support mission readiness through filling a gap in cancer prevention, early detection/diagnosis, prognosis, treatment, quality of life and/or survivorship that may have a profound impact on the health and well-being of Service members, their families, Veterans or other beneficiaries.

− Articulate how the proposed research will advance the knowledge and understanding of cancer, patient care, and/or treatment options in the MHS for the benefit of active duty Service members, Veterans, and other military beneficiaries.

− Describe the anticipated short-term and/or long-term outcomes of the proposed research and their potential impact on the basic health, welfare, and/or psychosocial wellness of active duty Service members, Veterans, and other military beneficiaries.

− Describe how the study design will replicate field conditions, if appropriate. If active duty Service members, military families, or Veteran population(s) will be used in the proposed research project, describe the population(s), the appropriateness of the population(s) for the proposed study, and the feasibility of using the population.

− If a non-military population will be used for the proposed research project, explain how the population simulates the targeted population (i.e., Armed Forces, their family members, and/or the Veteran population).

○ **Attachment 12: Representations, if applicable (extramural submissions only):** Upload as “RequiredReps.pdf”. All extramural applicants must complete and submit the Required Representations template available on eBRAP (https://ebrap.org/eBRAP/public/Program.htm). For more information, see the General Application Instructions, Appendix 5, Section B, Representations.

○ **Attachment 13: Suggested Collaborating DoD Military Facility Budget Format, if applicable:** Upload as “MFBudget.pdf”. If a military facility (Military Health System facility, research laboratory, medical treatment facility, dental treatment facility, or a DoD activity embedded with a civilian medical center) will be a collaborator in performance of the project, complete a separate budget, using “Suggested Collaborating DoD Military Facility Budget Format”, available for download on the eBRAP “Funding Opportunities & Forms” web page (https://ebrap.org/eBRAP/public/Program.htm), including a budget justification, for each military facility as instructed. The costs per year should be included on the Grants.gov Research & Related Budget Form under subaward costs. Refer to the General Application Instructions, Section III.A.8, for detailed information.

**Extramural and Intramural Applications**

To evaluate compliance with Title IX of the Education Amendments of 1972 (20 USC A§1681 et seq.), the DoD is collecting certain demographic and career information to be able to assess the success rates of women who are proposed for key roles in applications in science, technology, engineering, and/or mathematics (STEM) disciplines. To enable this assessment, each application must include the following forms completed as indicated.
Research & Related Personal Data: For extramural submissions (via Grants.gov), refer to the General Application Instructions, Section III.A.3, and for intramural submissions (via eBRAP), refer to the General Application Instructions, Section IV.A.2, for detailed information.

Research & Related Senior/Key Person Profile (Expanded): For extramural submissions (via Grants.gov), refer to the General Application Instructions, Section III.A.4, and for intramural submissions (via eBRAP), refer to the General Application Instructions, Section IV.A.3, for detailed information.

○ PI Biographical Sketch (five-page limit): Upload as “Biosketch_LastName.pdf”. The suggested biographical sketch format is available on the “Funding Opportunities & Forms” web page (https://ebrap.org/eBRAP/public/Program.htm) in eBRAP. The National Institutes of Health Biographical Sketch may also be used. All biographical sketches should be submitted in uneditable PDF format.

○ PI Previous/Current/Pending Support (no page limit): Upload as “Support_LastName.pdf”.

○ Key Personnel Biographical Sketches (five-page limit each): Upload as “Biosketch_LastName.pdf”. Include Patient Advocate biographical sketches.

○ Key Personnel Previous/Current/Pending Support (no page limit): Upload as “Support_LastName.pdf”.

Research & Related Budget: For extramural submissions (via Grants.gov), refer to the General Application Instructions, Section III.A.5, and for intramural submissions (via eBRAP), refer to the General Application Instructions, Section IV.A.4, for detailed information.

Budget Justification (no page limit): Upload as “BudgetJustification.pdf”. The budget justification for the entire period of performance must be uploaded to the Research & Related Budget after completion of the budget for Period 1. Budget justification should include level of effort by each team member. If a team member is not requesting salary, then the justification should delineate their level of effort.

Project/Performance Site Location(s) Form: For extramural submissions (via Grants.gov), refer to the General Application Instructions, Section III.A.6, and for intramural submissions (via eBRAP), refer to the General Application Instructions, Section IV.A.5, for detailed information.

• Extramural Applications Only

Research & Related Subaward Budget Attachment(s) Form (if applicable): Refer to the General Application Instructions, Section III.A.7, for detailed information.

○ Extramural Subaward: Complete the Research & Related Subaward Budget Form through Grants.gov. (Refer to the General Application Instructions, Section III.A.7, for
detailed information.) Verify subaward budget(s) and budget justification forms are present in eBRAP during the application verification period. If these components are missing, upload them to eBRAP before the end of the application verification period.

- **Intramural DoD Collaborator(s):** Complete the “Suggested Collaborating DoD Military Facility Budget Format” and upload to Grants.gov attachment form as Attachment 13. (Refer to the General Application Instructions, Section IV.A.4, for detailed information.) Each Intramural DoD Collaborator should include costs per year on the Grants.gov Research & Related Budget Form under subaward costs.

### II.D.3. Dun and Bradstreet Data Universal Numbering System (DUNS) Number and System for Award Management (SAM)

Applicant organizations and all sub-recipient organizations must have a DUNS number to submit applications to Grants.gov. The applicant organization must also be registered in the Entity Management functional area of the SAM with an “Active” status to submit applications through the Grants.gov portal. Verify the status of the applicant organization’s Entity registration in SAM well in advance of the application submission deadline. Allow several weeks to complete the entire SAM registration process. If an applicant has not fully complied with the requirements at the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant. Refer to the General Application Instructions, Section III, for further information regarding Grants.gov requirements.

**Announcement of Transition to SAM-Generated Unique Entity Identifier (UEI):** Through December 2020, a transition from DUNS to the SAM-generated UEI will occur. Refer to the General Application Instructions, Section III.1, DUNS Number, for more information on the transition and timing.

### II.D.4. Submission Dates and Times

All submission dates and times are indicated in Section I, Overview of the Funding Opportunity. Pre-application and application submissions are required. The pre-application and application submission process should be started early to avoid missing deadlines. There are no grace periods. Failure to meet either of these deadlines will result in submission rejection.

### Applicant Verification of Full Application Submission in eBRAP

**For Both Extramural and Intramural Applicants:** eBRAP allows an organization’s representatives and PIs to view and modify the full application submissions associated with them. Following retrieval and processing of the full application, eBRAP will notify the organizational representatives and PI by email to log into eBRAP to review, modify, and verify the full application submission. eBRAP will validate full application files against the specific Program Announcement requirements, and discrepancies will be noted in an email to the PI and in the “Full Application Files” tab in eBRAP. eBRAP does not confirm the accuracy of file content. Application viewing, modification, and verification in eBRAP are strongly recommended, but not required. It is the applicant’s responsibility to review all application components and ensure proper ordering as specified in the Program Announcement. **If either**
the Project Narrative or the budget fails eBRAP validation or needs to be modified, an updated full application package must be submitted prior to the application submission deadline. The Project Narrative and Research & Related Budget Form cannot be changed after the application submission deadline. Other application components may be changed until the end of the application verification period. Verify that subaward budget(s) and budget justification forms are present in eBRAP during the application verification period. If these components are missing, upload them to eBRAP before the end of the application verification period. After the end of the application verification period, the full application cannot be modified.

Extramural Submission: The full application package submitted to Grants.gov may be viewed and modified in eBRAP until the end of the application verification period. During the application verification period, the full application package, with the exception of the Project Narrative and Budget Form, may be modified.

Intramural DoD Submission: After eBRAP has processed the full application, the organizational Resource Manager/Comptroller/Task Area Manager or equivalent Business Official and PI will receive email notification of the status and will be able to view and modify application components in eBRAP. During the application verification period, the full application package, with the exception of the Project Narrative and Budget Form, may be modified. The Resource Manager/Comptroller/Task Area Manager or equivalent Business Official should log into eBRAP to review and to approve the application package prior to the application verification deadline.

For All Submissions: Verify that subaward budget(s) with budget justification are present in eBRAP during the application verification period. If these components are missing, upload them to eBRAP before the end of the application verification period.

II.D.5. Funding Restrictions

The maximum period of performance is 4 years.

The anticipated direct costs budgeted for the entire period of performance will not exceed $1,000,000. If indirect cost rates have been negotiated, indirect costs are to be budgeted in accordance with the organization’s negotiated rate. No budget will be approved by the Government exceeding $1,000,000 direct costs or using an indirect cost rate exceeding the organization’s negotiated rate.

All direct and indirect costs of any subaward or contract must be included in the total direct costs of the primary award.

The applicant may request the entire maximum funding amount for a project that may have a period of performance less than the maximum 4 years.

For this award mechanism, direct costs may be requested for travel including:

- Support for multidisciplinary collaborations, including travel
• Costs for one investigator to travel to one scientific/technical meeting per year in addition to the required meeting described above. The intent of travel costs to scientific/technical meeting(s) is to present project information from the PRCRP Behavioral Health Science Award.

Must not be requested for:

• Clinical trial costs beyond pilot studies

For extramural awards with an intragovernmental component, direct transfer of funds from an extramural award recipient to a DoD or other Federal agency is not allowed except under very limited circumstances. Funding to intramural DoD and other Federal agencies will be managed through a direct funds transfer. Intramural applicants are responsible for coordinating through their agency’s procedures the use of contractual or assistance funding awards or other appropriate agreements to support extramural collaborators.

Refer to the General Application Instructions, Section III.A.5, for budget regulations and instructions for the Research & Related Budget. For Federal agencies or organizations collaborating with Federal agencies, budget restrictions apply as are noted in the General Application Instructions, Section III.A.5.

II.D.6. Other Submission Requirements

Refer to the General Application Instructions, Appendix 4, for detailed formatting guidelines.

II.E. Application Review Information

II.E.1. Criteria

II.E.1.a. Peer Review

To determine technical merit, all applications will be evaluated according to the following scored criteria, which are of equal importance:

• Research Strategy and Feasibility
  ○ Whether the stated hypothesis or the objective is relevant to at least one of the FY20 PRCRP Topic Areas in Section II.A.1.
  ○ To what degree the study design, proposed methods, and analyses are appropriate to test the hypothesis and/or reach the final objective.
  ○ If applicable, for retrospective or prospective recruitment studies whether the application defines the type of study (e.g., descriptive, correlational, field experimental, meta-analyses).
  ○ If applicable, whether study populations are defined.
○ To what degree the application addresses potential problem areas and potential pitfalls and presents alternative methods and approaches.

○ To what extent the proposed study is innovative or is a high reward concept in at least one of the three areas of survivorship.

○ If applicable, how well the application describes the reliability and validity of psychometric measures.

○ If a biorepository, patient medical files, meta-analysis is proposed, to what degree the description of the data to be collected, the process or the methodology to collect the samples (i.e., for biorepositories - the standardization of procedures for collection) will support the planned evaluation of the study.

○ If applicable, how well the application describes how data will be reported and how it will fulfill a regulatory documentation for the FDA.

○ If applicable, how well the research plan documents the recruitment of human subjects or acquisition of human anatomical samples.

• Impact

○ Whether the behavioral health aspects of the proposed research are clearly articulated and demonstrate a potential to lead to a major impact on patient outcomes, especially in terms of the three stages of survivorship (acute, extended and/or permanent).

○ To what degree the research will accelerate promising findings toward clinical applicability and leverage results to maximize impact on near term patient outcomes.

• Patient Advocate Involvement:

○ Whether at least two patient advocates from one of the FY20 PRCRP Topic Areas are named along with their organization(s).

○ To what extent the patient advocates will play an integral roles in the planning, design, implementation, and evaluation of the research.

○ Whether the patient advocates’ knowledge of current cancer issues and their background will contribute to the project.

• Statistical Analysis and Data Management:

○ To what extent the statistical methodology and plan supports the stated hypothesis or objective.

○ If applicable, how well the described dataset supports the aims of the project.
○ If applicable, whether the inclusion and exclusion criteria for the subjects is sound and rationale for the criteria.

○ How well the application describes the power analysis and whether it determined population numbers. If applicable, how well the application justified why a power analysis is not essential to the statistical evaluation.

○ Whether the application stated if the analyses will be univariate, bivariate, or multivariate.

○ How well the variables are described and any covariates identified (if applicable). How well the application accounted for covariates and whether the adjustment is justified (if applicable).

○ If applicable, how well the stratification of data is described and if it is justified.

○ How well the data management is described and justified to include all methods for data collection (e.g., identifiers, confidentiality).

○ How well the application explained the data capture, verification, disposition, if applicable.

○ If applicable, for laboratory projects: to what extent evaluations to be made, storage of samples, organization and maintenance of large datasets is described and justified.

• Clinical Strategy (If a pilot clinical trial is proposed):

○ To what extent the rationale for the proposed clinical trial is supported by strong preliminary data and relevant literature citations.

○ How well the endpoints to be measured are justified for the described clinical trial.

○ Whether the clinical study will be initiated within the first year. If applicable whether FDA IND/IDE application submission plans are in place to submit within 60 days of the award, if applicable.

○ If applicable, whether the application shows how the data will be reported and how it will be assured that the documentation will support a regulatory filing with the FDA.

○ Whether the access to the study population, recruitment plans, and inclusion/exclusion criteria are well described and justified.

○ Whether the informed consent process is articulated clearly and whether the applications includes plans to include women and minorities. If women and minorities are excluded, to what extend the application provided a rational justification.
○ Whether the application describes the type of clinical trial to be performed (e.g., randomized, cohort, case-control, cross-sectional) and outlines the proposed methodology in sufficient detail to show a clear course of action.

○ To what degree the potential challenges are delineated and alternative strategies are articulated.

○ Whether the application demonstrated how at least one of the stages of survivorship will be informed by the clinical trial.

*If surveys, questionnaires, and other data collection instruments are included in the application:*

- **Surveys, Questionnaires, and Other Data Collection Instruments:**
  
  ○ Whether the application includes a copy of the most recent version of surveys, questionnaires, data collection forms, rating scales, interview guides, or other instruments.
  
  ○ For each instrument, to what extent the application describes how the information collected is related to the objectives of the study.
  
  ○ Whether the application describes how and when the instrument(s) will be administered.
  
  ○ If applicable, whether the application describes how the instrument(s) will be adapted to the subject population.

In addition, the following unscored criteria will also contribute to the overall evaluation of the application:

- **Personnel**
  
  ○ How appropriate the levels of effort, as justified in the budget, will support successful conduct of the proposed work.
  
  ○ Based on the biographical sketches, whether the research team’s backgrounds are appropriate to study the specified FY20 PRCRP Topic Area(s) in Section II.A.1, with respect to the team’s ability to perform the proposed work.

- **Environment**
  
  ○ To what degree the scientific environment is appropriate for the proposed research.
  
  ○ To what degree the research requirements are supported by the availability of and accessibility to facilities and resources (including collaborative arrangements).
  
  ○ To what degree the quality and extent of institutional support are appropriate
• **Budget**
  ○ Whether the **direct** costs exceed the allowable direct costs as published in the Program Announcement.
  ○ Whether the budget is appropriate for the proposed research.
  ○ Whether there may be significant overlap with existing or pending awards of the PI or research team
  ○ If applicable, whether the budget clearly shows the clinical trial funding source

• **Application Presentation**
  ○ To what extent the writing, clarity, and presentation of the application components influence the review.

**II.E.1.b. Programmatic Review**

To make funding recommendations and select the application(s) that, individually or collectively, will best achieve the program objectives, the following criteria are used by programmatic reviewers:

• Ratings and evaluations of the peer reviewers

• Relevance to the mission of the DHP and FY20 PRCRP, as evidenced by the following:
  ○ Adherence to the intent of the award mechanism
  ○ Program portfolio balance and composition
  ○ Programmatic relevance to the FY20 PRCRP Military Health Focus Areas
  ○ Relative impact

**II.E.2. Application Review and Selection Process**

All applications are evaluated by scientists, clinicians, and consumers in a two-tier review process. The first tier is **peer review**, the evaluation of applications against established criteria to determine technical merit, where each application is assessed for its own merit, independent of other applications. The second tier is **programmatic review**, a comparison-based process in which applications with high scientific and technical merit are further evaluated for programmatic relevance. Final recommendations for funding are made to the Commanding General, USAMRDC, on behalf of the DHA and the OASD(HA). **The highest-scoring applications from the first tier of review are not automatically recommended for funding. Funding recommendations depend on various factors as described in Section II.E.1.b, Programmatic Review.** Additional information about the two-tier process used by the CDMRP can be found at [https://cdmrp.army.mil/about/2tierRevProcess](https://cdmrp.army.mil/about/2tierRevProcess). An information paper describing
the funding recommendations and review process for the award mechanisms for the PRCRP will be provided to the PI and posted on the CDMRP website.

All CDMRP review processes are conducted confidentially to maintain the integrity of the merit-based selection process. Panel members sign a statement declaring that application and evaluation information will not be disclosed outside the panel. Violations of confidentiality can result in the dissolving of a panel(s) and other corrective actions. In addition, personnel at the applicant or collaborating organizations are prohibited from contacting persons involved in the review and approval process to gain protected evaluation information or to influence the evaluation process. Violations of these prohibitions will result in the administrative withdrawal of the organization’s application. Violations by panel members or applicants that compromise the confidentiality of the review and approval process may also result in suspension or debarment from Federal awards. Furthermore, the unauthorized disclosure of confidential information of one party to another third party is a crime in accordance with 18 USC 1905.

II.E.3. Integrity and Performance Information

Prior to making an assistance agreement award where the Federal share is expected to exceed the simplified acquisition threshold, as defined in 2 CFR 200.88, over the period of performance, the Federal awarding agency is required to review and consider any information about the applicant that is available in the Federal Awardee Performance and Integrity Information System (FAPIIS).

An applicant organization may review FAPIIS, accessible through SAM, and submit comments to FAPIIS on any information about the organization that a Federal awarding agency previously entered and is currently available in FAPIIS.

The Federal awarding agency will consider any comments by the applicant, in addition to other information in the designated integrity and performance system, in making a judgment about the applicant’s integrity, business ethics, and record of performance under Federal awards when determining a recipient’s qualification prior to award, according to the qualification standards of the Department of Defense Grant and Agreement Regulations (DoDGARs), Section 22.415.

II.E.4. Anticipated Announcement and Federal Award Dates

All application review dates and times are indicated in Section I, Overview of the Funding Opportunity.

Each PI and organization will receive email notification of posting of the funding recommendation in eBRAP. Each PI will receive a peer review summary statement on the strengths and weaknesses of the application.
II.F. Federal Award Administration Information

II.F.1. Federal Award Notices

Awards supported with FY20 funds are anticipated to be made no later than September 30, 2021. Refer to the General Application Instructions, Appendix 2, for additional award administration information.

After email notification of application review results through eBRAP, and if selected for funding, a representative from USAMRAA will contact the Business Official authorized to negotiate on behalf of the PI’s organization.

**Pre-Award Costs:** An institution of higher education, hospital, or other non-profit organization may, at its own risk and without the Government’s prior approval, incur obligations and expenditures to cover costs up to 90 days before the beginning date of the initial budget period of a new award. Refer to the General Application Instructions, Section III.B.

*Only an appointed USAMRAA Grants Officer may obligate the Government to the expenditure of funds.* No commitment on the part of the Government should be inferred from discussions with any other individual. *The award document signed by the Grants Officer is the official authorizing document.*

**Federal Government Organizations:** Funding made to Federal Government organizations (to include intramural DoD organizations) will be executed through the Military Interdepartmental Purchase Request (MIPR) or Funding Authorization Document (FAD) process. Transfer of funds is contingent upon appropriate safety and administrative approvals. Intramural applicants and collaborators are reminded to coordinate receipt and commitment of funds through their respective Resource Manager/Task Area Manager/Comptroller or equivalent Business Official.

II.F.1.a. PI Changes and Award Transfers

Unless otherwise restricted, changes in PI or organization will be allowed at the discretion of the USAMRAA Grants Officer, provided the intent of the award mechanism is met.

An organizational transfer of an award will not be allowed in the last year of the (original) period of performance or any extension thereof.

Refer to the General Application Instructions, Appendix 2, Section B, for general information on organization or PI changes.

II.F.2. Administrative and National Policy Requirements

Applicable requirements in the DoDGARs found in 32 CFR, Chapter I, Subchapter C, and 2 CFR, Chapter XI, apply to grants and cooperative agreements resulting from this Program Announcement.

Refer to the General Application Instructions, Appendix 2, for general information regarding administrative requirements.
Refer to the General Application Instructions, Appendix 5, for general information regarding national policy requirements.

Refer to full text of the latest DoD R&D General Terms and Conditions; the General Research Terms and Conditions with Institutions of Higher Education, Hospitals, and Non-Profit Organizations: Addendum to the DoD R&D General Terms and Conditions; and the USAMRAA General Research Terms and Conditions with For-Profit Organizations for further information.

II.F.3. Reporting

Refer to the General Application Instructions, Appendix 2, Section A, for general information on reporting requirements. If there are technical reporting requirement delinquencies for any existing USAMRAA-sponsored awards at the applicant organization, no new awards will be issued to the applicant organization until all delinquent reports have been submitted.

Annual progress reports as well as a final progress report will be required.

The Award Terms and Conditions will specify if more frequent reporting is required.

Awards resulting from this Program Announcement will incorporate additional reporting requirements related to recipient integrity and performance matters. Recipient organizations that have Federal contract, grant, and cooperative agreement awards with a cumulative total value greater than $10,000,000 are required to provide information to FAPIIS about certain civil, criminal, and administrative proceedings that reached final disposition within the most recent 5-year period and that were connected with performance of a Federal award. Recipients are required to disclose, semiannually, information about criminal, civil, and administrative proceedings as specified in the applicable Representations (see General Application Instructions, Appendix 5, Section B).

II.G. Federal Awarding Agency Contacts

II.G.1. CDMRP Help Desk

Questions related to Program Announcement content or submission requirements as well as questions related to the pre-application or intramural application submission through eBRAP should be directed to the CDMRP Help Desk, which is available Monday through Friday from 8:00 a.m. to 5:00 p.m. ET. Response times may vary depending upon the volume of inquiries.

Phone: 301-682-5507

Email: help@eBRAP.org

II.G.2. Grants.gov Contact Center

Questions related to extramural application submission through Grants.gov portal should be directed to the Grants.gov Contact Center, which is available 24 hours a day, 7 days a week
(closed on U.S. Federal holidays). Note that the CDMRP Help Desk is unable to provide technical assistance with Grants.gov submission.

Phone: 800-518-4726; International 1-606-545-5035

Email: support@grants.gov

Sign up on Grants.gov for “send me change notification emails” by following the link on the “Synopsis” page for the Program Announcement or by responding to the prompt provided by Grants.gov when first downloading the Grants.gov application package. If the Grants.gov application package is updated or changed, the original version of the application package may not be accepted by Grants.gov.

II.H. Other Information

II.H.1. Program Announcement and General Application Instructions Versions

Questions related to this Program Announcement should refer to the Program name, the Program Announcement name, and the Program Announcement version code 501c. The Program Announcement numeric version code will match the General Application Instructions version code 501.

II.H.2. Administrative Actions

After receipt of applications, the following administrative actions may occur:

II.H.2.a. Rejection

The following will result in administrative rejection of the application:

- Pre-application was not submitted.
- Project Narrative exceeds page limit.
- Project Narrative is missing.
- Budget is missing.

II.H.2.b. Modification

- Pages exceeding the specific limits will be removed prior to review for all documents other than the Project Narrative.
- Documents not requested will be removed.

II.H.2.c. Withdrawal

The following may result in administrative withdrawal of the application:
• An FY20 PRCRP Programmatic Panel member is named as being involved in the research proposed or is found to have assisted in the pre-application or application processes including, but not limited to, concept design, application development, budget preparation, and the development of any supporting documentation. A list of the FY20 PRCRP Programmatic Panel members can be found at https://cdmrp.army.mil/prcrp/panels/panels20.

• The application fails to conform to this Program Announcement description.

• Inclusion of URLs, with the exception of links in References Cited and Publication and/or Patent Abstract sections.

• Page size is larger than 8.5 inches x 11.0 inches (approximately 21.59 cm x 27.94 cm).

• To preserve the integrity of its peer and programmatic review processes, the CDMRP discourages inclusion of any employee of its review contractors having any role in the preparation, research or other duties for submitted applications. For FY20, the identities of the peer review contractor and the programmatic review contractor may be found at the CDMRP website (https://cdmrp.army.mil/about/2tierRevProcess). Applications that include names of personnel from either of these companies may be administratively withdrawn.

• Personnel from applicant or collaborating organizations are found to have contacted persons involved in the review or approval process to gain protected evaluation information or to influence the evaluation process.

• Applications from extramural organizations, including non-DoD Federal agencies, received through eBRAP may be withdrawn.

• Applications submitted by an intramural DoD organization may be withdrawn if the intramural organization cannot coordinate the use of contractual, assistance, or other appropriate agreements to provide funds to extramural collaborators.

• Submission of the same research project to different funding opportunities within the same program and fiscal year.

• The application does not adhere to Congressional language and proposes breast, prostate, pancreatic, lung (excluding mesothelioma), kidney, melanoma, ovarian and rare cancer research as the research model to be studied.

• A clinical trial beyond a pilot study is proposed.

• The application does not address at least one of the FY20 PRCRP Topic Areas in Section II.A.1.

• The application does not address at least one of the FY20 PRCRP Military Health Focus Areas in Section II.A.2.

• A pilot clinical trial is proposed and Attachment 9: Clinical Strategy is missing.

• An application for which the named PI does not meet the eligibility criteria.
II.H.2.d. Withhold

Applications that appear to involve research misconduct will be administratively withheld from further consideration pending organizational investigation. The organization will be required to provide the findings of the investigation to the USAMRAA Grants Officer for a determination of the final disposition of the application.
## II.H.3. Application Submission Checklist

<table>
<thead>
<tr>
<th>Application Components</th>
<th>Action</th>
<th>Completed</th>
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<tbody>
<tr>
<td>SF424 Research &amp; Related Application for Federal Assistance <em>(extramural submissions only)</em></td>
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<td></td>
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<td>Supporting Documentation: Upload as Attachment 2 with file name “Support.pdf”</td>
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<td>Impact Statement: Upload as Attachment 6 with file name “Impact.pdf”</td>
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<td>Clinical Strategy Statement, if applicable: Upload as Attachment 9 with file name “Clinical.pdf”</td>
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<tr>
<td>Surveys, Questionnaires, and Other Data Collection Instruments, if applicable: Upload as Attachment 10 with file name “Surveys.pdf”</td>
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<td>Relevance to Military Health Statement: Upload as Attachment 11 with file name “MilHealth.pdf”</td>
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<td>Representations (extramural submissions only): Upload as Attachment 12 with file name “RequiredReps.pdf” if applicable</td>
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<td>Suggested Collaborating DoD Military Facility Budget Form: Upload as</td>
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<tr>
<td>Application Components</td>
<td>Action</td>
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</tr>
<tr>
<td>Attachment 13 with file name “MFBudget.pdf” if applicable</td>
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<td>Research &amp; Related Subaward Budget Attachment(s) Form, if applicable</td>
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**APPENDIX 1: ACRONYM LIST**

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<tr>
<th>Acronym</th>
<th>Description</th>
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<tr>
<td>ACOS/R&amp;D</td>
<td>Associate Chief of Staff for Research and Development</td>
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<tr>
<td>ACURO</td>
<td>Animal Care and Use Review Office</td>
</tr>
<tr>
<td>BHSA</td>
<td>Behavioral Health Science Award</td>
</tr>
<tr>
<td>CDMRP</td>
<td>Congressionally Directed Medical Research Programs</td>
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<tr>
<td>CFR</td>
<td>Code of Federal Regulations</td>
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<td>DHA</td>
<td>Defense Health Agency</td>
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<td>DHP</td>
<td>Defense Health Program</td>
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<tr>
<td>DoD</td>
<td>Department of Defense</td>
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<tr>
<td>DoDGARs</td>
<td>Department of Defense Grant and Agreement Regulations</td>
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<td>DUNS</td>
<td>Data Universal Numbering System</td>
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<td>eBRAP</td>
<td>Electronic Biomedical Research Application Portal</td>
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<td>EC</td>
<td>Ethics Committee</td>
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<td>ET</td>
<td>Eastern Time</td>
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<tr>
<td>FAD</td>
<td>Funding Authorization Document</td>
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<tr>
<td>FAPIIS</td>
<td>Federal Awardee Performance and Integrity Information System</td>
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<tr>
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<td>Fiscal Year</td>
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<td>Human Research Protection Office</td>
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<td>IACUC</td>
<td>Institutional Animal Care and Use Committee</td>
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<td>Institutional Review Board</td>
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<td>LOI</td>
<td>Letter of Intent</td>
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<td>Million</td>
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<td>Military Interdepartmental Purchase Request</td>
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<tr>
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<td>Office of the Assistant Secretary of Defense for Health Affairs</td>
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<td>ORP</td>
<td>Office of Research Protections</td>
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<td>PI</td>
<td>Principal Investigator</td>
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<td>PRCRP</td>
<td>Peer Reviewed Cancer Research Program</td>
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